Kohl Simeon Form 4 February 15, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB 3235-0287

**OMB APPROVAL** 

Number: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or Form 5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

02/15/2019

value \$0.0001 per share

Kohl Simeon	Symbol Perform	Symbol Performant Financial Corp [PFMT]					Issuer						
(Last) (First) (Middle)  333 NORTH CANYONS PARKWAY			3. Date of (Month/D	3. Date of Earliest Transaction (Month/Day/Year) 02/14/2019					(Check all applicable)  Director 10% Owner _X_ Officer (give title Other (specify below)  Vice President of Healthcare				
LIVERMOR		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	Person quired, Disposed	of or Reneficia	lly Owned			
1.Title of Security (Instr. 3)	any			3.	4. Securities  tionAcquired (A) or  Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-			
Common				Code V	Amount		Price	(Instr. 3 and 4)					
Stock, par value \$0.0001 per share	02/14/2019			S <u>(1)</u>	1,450	D	\$ 2.2	30,337	D				
Common Stock, par				40			\$						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$ 

2,915 D

27,422

D

#### Edgar Filing: Kohl Simeon - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exerc Expiration Da		7. Title		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(manazay, 16ar)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underly Securit	ying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title 1	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kohl Simeon

333 NORTH CANYONS PARKWAY LIVERMORE, CA 94551

Vice President of Healthcare

Signatures

/s/ Ian A. Johnston, Attorney-in-Fact for Simeon M. Kohl

02/15/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction occurred pursuant to a Rule 10b5-1 trading plan established on June 8, 2018. This Rule 10b5-1 trading plan terminated on February 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2