

COLUMBUS MCKINNON CORP
Form 4
November 27, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Woon Eric

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President - APAC

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/26/2013

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					9,515 ⁽¹⁾	D	
Common Stock	11/26/2013		M		\$ 18.24 9,618 ⁽¹⁾	D	
Common Stock	11/26/2013		M		\$ 19.5 10,201 ⁽¹⁾	D	
Common Stock	11/26/2013		M		\$ 13.43 10,890 ⁽¹⁾	D	
Common Stock	11/26/2013		S		\$ 27.16 9,515 ⁽¹⁾	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013 01/24/2020	Common Stock	8,333
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	11/26/2013		M	103	05/17/2011 05/16/2020	Common Stock	103
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	11/26/2013		M	583	05/23/2012 05/22/2021	Common Stock	583
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	11/26/2013		M	689	05/21/2013 05/20/2022	Common Stock	689
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock	4,970 ⁽⁶⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Woon Eric 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			Vice President - APAC	

Signatures

Eric Woon

11/27/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 8,139 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 227 shares become fully vested and non-forfeitable on 5/17/2014, 618 shares become fully vested and non-forfeitable 50% per year for two years beginning

(1) 5/23/2014, 2,064 units become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

Originally granted 10,000 options. The reporting person exercised 959 options on 11/19/2013. 1,666 options are exercisable, subject to IRS limitations, and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.

(3) Originally granted 1,724 options. The reporting person exercised 103 options on 11/26/2013. 647 options are exercisable, subject to IRS limitations, and the remaining 431 options become exercisable 5/17/2014, if reporting person remains an employee of issuer.

(4) Originally granted 2,334 options. The reporting person exercised 583 options on 11/26/2013. 583 options are exercisable, subject to IRS limitations, and the remaining 1,168 options become exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.

(5) Originally granted 5,517 options. The reporting person exercised 689 options on 11/26/2013. 690 options are exercisable, subject to IRS limitations, and the remaining 4,138 options become exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.

(6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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