#### **DHILLON AVTAR S**

Form 4 July 06, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* **DHILLON AVTAR S** 

(Middle)

3565 GENERAL ATOMICS COURT #100

(Street)

(First)

Symbol ONCOSEC MEDICAL Inc [ONCS]

3. Date of Earliest Transaction (Month/Day/Year) 06/15/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

SAN DIEGO, CA 92121

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l    | Derivative S                            | ecurit                         | ies Acqui   | red, Disposed of,  | or Beneficial  | ly Owned  |
|--------------------------------------|---|---|-----------------|---|--------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securities omr Disposes (Instr. 3, 4 | d of (I<br>and 5)<br>(A)<br>or | 0)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 06/15/2018                              |   | Code V M        | Amount 100,000                          | (D)                            | Price (1)   | 181,002  | D  |   |
| Common Stock (2)                     | 06/18/2018                              |   | S               | 5,552                                   | D                              | \$<br>1.386 | 175,450  | D  |   |
| Common Stock (2)                     | 06/19/2018                              |   | S               | 1,838                                   | D                              | \$<br>1.364 | 173,612  | D  |   |
| Common Stock (2)                     | 06/20/2018                              |   | S               | 10,973                                  | D                              | \$<br>1.422 | 162,639  | D  |   |
| Common Stock (2)                     | 06/21/2018                              |   | S               | 2,178                                   | D                              | \$<br>1.401 | 160,461  | D  |   |

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| Common<br>Stock (2) | 06/22/2018 | S | 2,956 | D | \$<br>1.418 | 157,505 | D |
|---------------------|------------|---|-------|---|-------------|---------|---|
| Common<br>Stock (2) | 06/25/2018 | S | 202   | D | \$<br>1.405 | 157,303 | D |
| Common<br>Stock (2) | 06/26/2018 | S | 4,703 | D | \$<br>1.398 | 152,600 | D |
| Common<br>Stock (2) | 06/27/2018 | S | 3,451 | D | \$<br>1.374 | 149,149 | D |
| Common<br>Stock (2) | 06/28/2018 | S | 1,556 | D | \$<br>1.401 | 147,593 | D |
| Common<br>Stock (2) | 06/29/2018 | S | 1,066 | D | \$ 1.38     | 146,527 | D |
| Common<br>Stock (2) | 07/05/2018 | S | 3,100 | D | \$<br>1.312 | 143,427 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | Secu<br>Acqu<br>Disp |         | Expiration Date (Month/Day/Year) or (D) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                          |
|---|---|---|---|---|----------------------|---------|---|--------------------|---|--------------------------|
|   |   |   |   | Code V                                  | (A)                  | (D)     | Date<br>Exercisable                     | Expiration<br>Date | Title   | Amoun<br>Numbe<br>Shares |
| Restricted<br>Stock                                 | (1)   | 06/15/2018                              |   | M                                       |                      | 100,000 | 03/04/2019                              | 03/04/2019         | Common<br>Stock   | 100,0                    |

# **Reporting Owners**

Units

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| . 9   | Director      | 10% Owner | Officer | Other |  |  |
| DHILLON AVTAR S<br>3565 GENERAL ATOMICS COURT #100<br>SAN DIEGO, CA 92121 | X             |           |         |       |  |  |

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## **Signatures**

/s/ Avtar S. 07/06/2018 Dhillon

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the contingent right to receive one share of common stock upon vesting of the unit.
- (2) Sale to cover taxes on restricted stock unit vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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