## Edgar Filing: Advaxis, Inc. - Form 4

Advaxis, Inc. Form 4 July 01, 2014 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).								OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type I	Responses)									
	Address of Reporting F	Sym	2. Issuer Name <b>and</b> Ticker or Trading Symbol Advaxis, Inc. [ADXS]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	(Iiddle) 3. D	ate of Earliest Tr	ransaction			(Che	ck all applicable	e)	
			(Month/Day/Year) 07/01/2014				Director 10% Owner X Officer (give title Other (specify below) below) VP-Regulatory, Medical Affairs			
	4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
PRINCETO	N, NJ 08540						Person	viore than One Ro	eporting	
(City)	(State) (	(Zip)	Table I - Non-I	Derivative	Securi	ities Aco	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Data	Code Year) (Instr. 8)	on(A) or D (D)	ispose 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/01/2014		А	503 <u>(1)</u>	А	\$0	79,162	D		
Common Stock	07/01/2014		F	60 <u>(2)</u>	D	\$ 3.15	79,102	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
FRENCH CHRISTY LEE 305 COLLEGE ROAD EAST PRINCETON, NJ 08540			VP-Regulatory, Medical Affairs				
Signatures							

/s/ Christy Lee 07/01/2014 French

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person voluntarily agreed to receive a percentage of her base salary in restricted shares of the issuer and receive these(1) shares in lieu of cash compensation. Shares are issued to the reporting person monthly. Price reflects the closing price of the final trading day of the month.

(2) Represents shares forfeited by reporting person in order to pay withholding taxes associated with the stock issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.