

COLUMBUS MCKINNON CORP
Form 4
February 13, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Giesige Charles R

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
02/11/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP Corporate Development

(Street)

AMHERST, NY 14228

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					19,207 ⁽¹⁾	D	
Common Stock	02/11/2014		M		2,913	A	\$ 13.27
Common Stock	02/11/2014		S		2,913	D	\$ 25.1
Common Stock	02/11/2014		M		10,000	A	\$ 19.15
Common Stock	02/11/2014		S		10,000	D	\$ 25.1

Common
Stock

737.9507 ⁽²⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Am or Num of S
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock	5
Incentive Stock Options (Right to Buy)	\$ 19.15	02/11/2014		M	10,000	01/19/2010 07/31/2016	Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	02/11/2014		M	2,913	05/18/2010 05/17/2019	Common Stock	2,913
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock	6,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock	5,000
Non-Qualified Stock Option (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022	Common Stock	9,000
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock	4,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Giesige Charles R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP Corporate Development	

Signatures

Charles R.
Giesige

02/13/2014

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Includes 12,880 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 824 shares become fully vested and non-forfeitable on 5/17/2014; 1,520 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 3,542 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014; 2,060 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 4,934 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (3) All exercisable, subject to IRS limitations.
 - (4) The reporting person exercised 10,000 options on 2/11/2014.
 - (5) The reporting person exercised 2,913 options on 2/11/2014. The remaining 5,612 options are all exercisable, subject to IRS limitations.
 - (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (8) Exercisable 25 % per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
 - (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.