Edgar Filing: Duff Mark - Form 4

Duff Mark			
Form 4			
January 22, 2019			
	S SECURITIES AND EXCHANGE C Washington, D.C. 20549		OMB APPROVAL OMB 3235-0287 Number:
Subject to Section 16. Form 4 or Form 5 obligations may continue Section 17(a) of the	F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange Public Utility Holding Company Act of of the Investment Company Act of 194	Act of 1934, 1935 or Section	January 31, 2005 Estimated average burden hours per response 0.5
(Print or Type Responses)			
1. Name and Address of Reporting Person <u>*</u> Duff Mark	2. Issuer Name and Ticker or Trading Symbol PERMA FIX ENVIRONMENTAL SERVICES INC [pesi]	Issuer	eporting Person(s) to all applicable)
(Last) (First) (Middle) 8302 DUNWOODY PLACE, SUITE 250	3. Date of Earliest Transaction (Month/Day/Year)	Director X Officer (give tit below) Preside	tle 10% Owner below) ent and CEO
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint Applicable Line) _X_ Form filed by One	t/Group Filing(Check e Reporting Person
ATLANTA, GA 30350			re than One Reporting
(City) (State) (Zip)	Table I - Non-Derivative Securities Acqu	uired, Disposed of, o	or Beneficially Owned
(Instr. 3) any (Month/	emed 3. 4. Securities on Date, if TransactionAcquired (A) or Code Disposed of (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A)	5. Amount of 6. Securities Fo Beneficially (D Owned Inc	Ownership7. Nature ofform: DirectIndirectorBeneficialdirect (I)Ownershipnstr. 4)(Instr. 4)
Common Stock		10,051 D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Duff Mark - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D	. Date Exercisable and xpiration Date7. Title and Amoun Underlying Securiti (Instr. 3 and 4)		Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 3.15	01/17/2019		А	25,000	(1)	01/17/2025	Common Stock	25,000
Stock Option	\$ 3.97					(2)	05/15/2022	Common Stock	50,000
Stock Option	\$ 3.65					(3)	07/27/2023	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships			
	Director	10% Owner	Officer	Other	
Duff Mark 8302 DUNWOODY PLAC SUITE 250 ATLANTA, GA 30350	Е		President and CEO		
Signatures					
/s/Mark Duff	01/22/2019				
**Signature of Reporting Person	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Incentive Stock Option granted 01/17/2019 under the Company's 2017 Stock Option Plan. The Option is for a 6 year period and vests over a 5 year period, at 1/5 increment per year and is exercisable at \$3.15 per share.
- (2) Incentive Stock Option granted 05/15/2016 under the Company's 2010 Stock Option Plan. The Option is for a 6 year period and vests over a 3 year period, at 1/3 increment per year.
- (3) Incentive Stock Option granted 07/27/2017 under the Company's 2017 Stock Option Plan. The Option is for a 6 year period and vests over a 5 year period, at 1/5 increment per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.