

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form N-PX

July 17, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act File No. 811-22039

First Trust Specialty Finance and
Financial Opportunities Fund

Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine
First Trust Portfolios L.P.
120 East Liberty Drive, Suite 400
Wheaton, Illinois 60187

Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

Registrant's Telephone Number, including Area Code

Date of fiscal year end: November 30

Date of reporting period: July 1, 2014 - June 30, 2015

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

Item 1. Proxy Voting Record

 STELLUS CAPITAL INVESTMENT CORPORATION

Security:	858568108	Meeting Type:	Annual
Ticker:	SCM	Meeting Date:	07-Jul-2014
ISIN	US8585681088	Vote Deadline Date:	03-Jul-2014
Agenda	934007394 Management	Total Ballot Shares:	127492
Last Vote Date:	24-Jun-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 JOSHUA T. DAVIS 2 BRUCE R. BILGER	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE BOARD, TO SELL OR OTHERWISE ISSUE UP TO 25% OF THE COMPANY'S OUTSTANDING COMMON STOCK AT AN OFFERING PRICE THAT IS BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE.	For	None
3	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO ISSUE WARRANTS, OPTIONS OR RIGHTS TO SUBSCRIBE TO, CONVERT TO, OR PURCHASE OUR COMMON STOCK IN ONE OR MORE OFFERINGS.	For	None

 HERCULES TECHNOLOGY GROWTH CAP INC

Security:	427096508	Meeting Type:	Annual
Ticker:	HTGC	Meeting Date:	08-Jul-2014
ISIN	US4270965084	Vote Deadline Date:	07-Jul-2014
Agenda	934040495 Management	Total Ballot Shares:	463764
Last Vote Date:	07-Jul-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 ROBERT P. BADAVAS	For	None
2	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP TO	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2014.

3	ADVISORY VOTE TO APPROVE THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION.	For	None
---	--	-----	------

KCAP FINANCIAL, INC.

Security:	48668E101	Meeting Type:	Special
Ticker:	KCAP	Meeting Date:	08-Jul-2014
ISIN	US48668E1010	Vote Deadline Date:	07-Jul-2014
Agenda	934009437 Management	Total Ballot Shares:	341146
Last Vote Date:	17-Jun-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY, WITH APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL SHARES OF ITS COMMON STOCK, PAR VALUE \$0.01 PER SHARE AT A PRICE BELOW THE THEN CURRENT NET ASSET VALUE PER SHARE OF SUCH COMMON STOCK, SUBJECT TO CERTAIN LIMITATIONS DESCRIBED IN THE PROXY STATEMENT.	For	None

TICC CAPITAL CORP

Security:	87244T109	Meeting Type:	Annual
Ticker:	TICC	Meeting Date:	17-Jul-2014
ISIN	US87244T1097	Vote Deadline Date:	16-Jul-2014
Agenda	934010822 Management	Total Ballot Shares:	104903
Last Vote Date:	24-Jun-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 CHARLES M. ROYCE 2 STEVEN P. NOVAK	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE TICC CAPITAL CORP. TO SELL SHARES OF ITS COMMON STOCK AT A PRICE OR PRICES BELOW TICC CAPITAL CORP.'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

APOLLO INVESTMENT CORPORATION

Security:	03761U106	Meeting Type:	Annual
Ticker:	AINV	Meeting Date:	05-Aug-2014
ISIN	US03761U1060	Vote Deadline Date:	04-Aug-2014
Agenda	934048035 Management	Total Ballot Shares:	423094
Last Vote Date:	04-Aug-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 JEANETTE LOEB 2 FRANK C. PULEO 3 CARL SPIELVOGEL	For	None
2	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS APOLLO INVESTMENT CORPORATION'S (THE "COMPANY") INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING MARCH 31, 2015.	For	None

APOLLO INVESTMENT CORPORATION

Security:	03761U106	Meeting Type:	Special
Ticker:	AINV	Meeting Date:	05-Aug-2014
ISIN	US03761U1060	Vote Deadline Date:	04-Aug-2014
Agenda	934050686 Management	Total Ballot Shares:	423094
Last Vote Date:	04-Aug-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO APPROVE A PROPOSAL TO AUTHORIZE FLEXIBILITY FOR THE COMPANY, WITH APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL SHARES OF ITS COMMON STOCK (DURING THE NEXT 12 MONTHS) AT PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE.	For	None

GLADSTONE INVESTMENT CORPORATION

Security:	376546107	Meeting Type:	Annual
Ticker:	GAIN	Meeting Date:	07-Aug-2014
ISIN	US3765461070	Vote Deadline Date:	06-Aug-2014
Agenda	934049671 Management	Total Ballot Shares:	184580
Last Vote Date:	05-Aug-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: ANTHONY W. PARKER	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE US, WITH THE APPROVAL OF OUR BOARD OF DIRECTORS (THE "BOARD"), TO ISSUE AND	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

SELL SHARES OF OUR COMMON STOCK
(DURING THE NEXT 12 MONTHS) AT A PRICE
BELOW ITS THEN CURRENT NET ASSET
VALUE PER SHARE, SUBJECT TO CERTAIN
LIMITATIONS SET FORTH IN THE PROXY
STATEMENT (INCLUDING, WITHOUT
LIMITATION, THAT THE NUMBER OF SHARES
ISSUED AND SOLD PURSUANT TO SUCH
AUTHORITY DOES NOT EXCEED 25% OF OUR
THEN OUTSTANDING COMMON STOCK
IMMEDIATELY PRIOR TO EACH SUCH SALE).

NGP CAPITAL RESOURCES COMPANY

Security:	62912R107	Meeting Type:	Annual
Ticker:	NGPC	Meeting Date:	30-Sep-2014
ISIN	US62912R1077	Vote Deadline Date:	29-Sep-2014
Agenda	934070133 Management	Total Ballot Shares:	300000
Last Vote Date:	26-Sep-2014		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO APPROVE THE PROPOSED INVESTMENT ADVISORY AGREEMENT, PURSUANT TO WHICH OAK HILL ADVISORS, L.P. WOULD BE APPOINTED AS THE NEW INVESTMENT ADVISOR OF NGP CAPITAL RESOURCES COMPANY, AS FURTHER DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT.	For	None
2	DIRECTOR 1 EDWARD W. BLESSING	For	None
3	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2014.	For	None
4	TO APPROVE ANY MOTION PROPERLY BROUGHT BEFORE THE ANNUAL MEETING TO ADJOURN THE ANNUAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL VOTES IN FAVOR OF THE PROPOSAL TO APPROVE THE PROPOSED INVESTMENT ADVISORY AGREEMENT.	For	None

HORIZON TECHNOLOGY FINANCE CORPORATION

Security:	44045A102	Meeting Type:	Special
Ticker:	HRZN	Meeting Date:	22-Jan-2015
ISIN	US44045A1025	Vote Deadline Date:	21-Jan-2015
Agenda	934109934 Management	Total Ballot Shares:	220533
Last Vote Date:	21-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
------	----------	---------------------	-----------------

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

1	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL SHARES OF ITS COMMON STOCK, DURING THE NEXT 12 MONTHS, AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, SUBJECT TO CERTAIN CONDITIONS AS SET FORTH IN THE PROXY STATEMENT ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)	For	None
---	--	-----	------

GOLUB CAPITAL BDC, INC.

Security:	38173M102	Meeting Type:	Annual
Ticker:	GBDC	Meeting Date:	03-Feb-2015
ISIN	US38173M1027	Vote Deadline Date:	02-Feb-2015
Agenda	934112703 Management	Total Ballot Shares:	539696
Last Vote Date:	30-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF TRUSTEE: KENNETH F. BERNSTEIN	For	None
2	ELECTION OF TRUSTEE: JOHN T. BAILY	For	None
3	TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	For	None

PENNANTPARK FLOATING RATE CAPITAL LTD.

Security:	70806A106	Meeting Type:	Annual
Ticker:	PFLT	Meeting Date:	03-Feb-2015
ISIN	US70806A1060	Vote Deadline Date:	02-Feb-2015
Agenda	934108297 Management	Total Ballot Shares:	146162
Last Vote Date:	30-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 MARSHALL BROZOST 2 SAMUEL L. KATZ	For	None
2	TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	For	None

PENNANTPARK INVESTMENT CORPORATION

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Security:	708062104	Meeting Type:	Special
Ticker:	PNNT	Meeting Date:	03-Feb-2015
ISIN	US7080621045	Vote Deadline Date:	02-Feb-2015
Agenda	934109388 Management	Total Ballot Shares:	729187
Last Vote Date:	30-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO CONSIDER AND VOTE UPON A PROPOSAL TO AUTHORIZE FLEXIBILITY FOR THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL SHARES OF THE COMPANY'S COMMON STOCK (DURING THE NEXT 12 MONTHS) AT A PRICE BELOW THE THEN-CURRENT NET ASSET VALUE PER SHARE, SUBJECT TO CERTAIN LIMITATIONS DESCRIBED IN THE JOINT PROXY STATEMENT.	For	None

MEDLEY CAPITAL CORPORATION

Security:	58503F106	Meeting Type:	Annual
Ticker:	MCC	Meeting Date:	24-Feb-2015
ISIN	US58503F1066	Vote Deadline Date:	23-Feb-2015
Agenda	934117967 Management	Total Ballot Shares:	576384
Last Vote Date:	20-Feb-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 MR. JEFF TONKEL 2 MR. ROBERT LYONS	For	None
2	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2015 FISCAL YEAR.	For	None

PENNANTPARK FLOATING RATE CAPITAL LTD.

Security:	70806A106	Meeting Type:	Special
Ticker:	PFLT	Meeting Date:	24-Feb-2015
ISIN	US70806A1060	Vote Deadline Date:	23-Feb-2015
Agenda	934109681 Management	Total Ballot Shares:	146162
Last Vote Date:	30-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO CONSIDER AND VOTE UPON A PROPOSAL TO AUTHORIZE FLEXIBILITY FOR THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL SHARES OF THE COMPANY'S COMMON STOCK (DURING THE NEXT 12 MONTHS) AT A	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

PRICE BELOW THE THEN-CURRENT NET ASSET VALUE PER SHARE, SUBJECT TO CERTAIN LIMITATIONS DESCRIBED IN THE JOINT PROXY STATEMENT.

PENNANTPARK INVESTMENT CORPORATION

Security:	708062104	Meeting Type:	Annual
Ticker:	PNNT	Meeting Date:	30-Mar-2015
ISIN	US7080621045	Vote Deadline Date:	27-Mar-2015
Agenda	934108300 Management	Total Ballot Shares:	729187
Last Vote Date:	30-Jan-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 ADAM BERNSTEIN 2 JEFFREY FLUG	For	None
2	TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015.	For	None

AMERICAN CAPITAL, LTD.

Security:	02503Y103	Meeting Type:	Annual
Ticker:	ACAS	Meeting Date:	24-Apr-2015
ISIN	US02503Y1038	Vote Deadline Date:	23-Apr-2015
Agenda	934136727 Management	Total Ballot Shares:	281357
Last Vote Date:	22-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: MARY C. BASKIN	For	None
2	ELECTION OF DIRECTOR: NEIL M. HAHL	For	None
3	ELECTION OF DIRECTOR: PHILIP R. HARPER	For	None
4	ELECTION OF DIRECTOR: STAN LUNDINE	For	None
5	ELECTION OF DIRECTOR: KRISTEN L. MANOS	For	None
6	ELECTION OF DIRECTOR: SUSAN K. NESTEGARD	For	None
7	ELECTION OF DIRECTOR: KENNETH D. PETERSON	For	None
8	ELECTION OF DIRECTOR: ALVIN N. PURYEAR	For	None
9	ELECTION OF DIRECTOR: MALON WILKUS	For	None
10	APPROVAL OF THE AMERICAN CAPITAL, LTD.	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

EMPLOYEE CASH INCENTIVE PLAN.

11	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP TO SERVE AS OUR INDEPENDENT PUBLIC ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2015.	For	None
----	---	-----	------

NEW MOUNTAIN FINANCE CORPORATION

Security:	647551100	Meeting Type:	Annual
Ticker:	NMFC	Meeting Date:	28-Apr-2015
ISIN	US6475511001	Vote Deadline Date:	27-Apr-2015
Agenda	934140346 Management	Total Ballot Shares:	514570
Last Vote Date:	24-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 DAVID OGENS 2 ADAM B. WEINSTEIN	For	None
2	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE, LLP TO SERVE AS NEW MOUNTAIN FINANCE CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015.	For	None

ARES CAPITAL CORPORATION

Security:	04010L103	Meeting Type:	Annual
Ticker:	ARCC	Meeting Date:	29-Apr-2015
ISIN	US04010L1035	Vote Deadline Date:	28-Apr-2015
Agenda	934143051 Management	Total Ballot Shares:	1358291.5878
Last Vote Date:	28-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: STEVE BARTLETT	For	None
2	ELECTION OF DIRECTOR: ROBERT L. ROSEN	For	None
3	ELECTION OF DIRECTOR: BENNETT ROSENTHAL	For	None
4	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2015.	For	None

ARES CAPITAL CORPORATION

Security:	04010L103	Meeting Type:	Special
Ticker:	ARCC	Meeting Date:	29-Apr-2015

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

ISIN	US04010L1035	Vote Deadline Date:	28-Apr-2015
Agenda	934144914 Management	Total Ballot Shares:	1358291.5878
Last Vote Date:	28-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE SUBJECT TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS	For	None

MAIN STREET CAPITAL CORPORATION

Security:	56035L104	Meeting Type:	Annual
Ticker:	MAIN	Meeting Date:	04-May-2015
ISIN	US56035L1044	Vote Deadline Date:	01-May-2015
Agenda	934141766 Management	Total Ballot Shares:	45389
Last Vote Date:	01-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: MICHAEL APPLING, JR.	For	None
2	ELECTION OF DIRECTOR: JOSEPH E. CANON	For	None
3	ELECTION OF DIRECTOR: ARTHUR L. FRENCH	For	None
4	ELECTION OF DIRECTOR: J. KEVIN GRIFFIN	For	None
5	ELECTION OF DIRECTOR: JOHN E. JACKSON	For	None
6	ELECTION OF DIRECTOR: VINCENT D. FOSTER	For	None
7	PROPOSAL TO APPROVE OUR 2015 EQUITY AND INCENTIVE PLAN.	For	None
8	PROPOSAL TO APPROVE OUR 2015 NON-EMPLOYEE DIRECTOR RESTRICTED STOCK PLAN.	For	None
9	PROPOSAL TO RATIFY THE APPOINTMENT OF GRANT THORNTON, LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDED DECEMBER 31, 2015.	For	None

HATTERAS FINANCIAL CORP.

Security:	41902R103	Meeting Type:	Annual
-----------	-----------	---------------	--------

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Ticker:	HTS	Meeting Date:	06-May-2015
ISIN	US41902R1032	Vote Deadline Date:	05-May-2015
Agenda	934153850 Management	Total Ballot Shares:	194282
Last Vote Date:	28-Mar-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 MICHAEL R. HOUGH 2 BENJAMIN M. HOUGH 3 DAVID W. BERSON 4 IRA G. KAWALLER 5 VICKI MCELREATH 6 JEFFREY D. MILLER 7 WILLIAM V. NUTT, JR. 8 THOMAS D. WREN	For	None
2	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2015.	For	None
3	TO APPROVE, BY NON-BINDING VOTE, EXECUTIVE COMPENSATION.	For	None
4	TO APPROVE THE HATTERAS FINANCIAL CORP. 2015 EQUITY INCENTIVE PLAN.	For	None

TRIANGLE CAPITAL CORPORATION

Security:	895848109	Meeting Type:	Annual
Ticker:	TCAP	Meeting Date:	06-May-2015
ISIN	US8958481090	Vote Deadline Date:	05-May-2015
Agenda	934148544 Management	Total Ballot Shares:	293393.374
Last Vote Date:	04-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: GARLAND S. TICKER, III	For	None
2	ELECTION OF DIRECTOR: E. ASHTON POOLE	For	None
3	ELECTION OF DIRECTOR: BRENT P.W. BURGESS	For	None
4	ELECTION OF DIRECTOR: STEVEN C. LILLY	For	None
5	ELECTION OF DIRECTOR: W. MCCOMB DUNWOODY	For	None
6	ELECTION OF DIRECTOR: MARK M. GAMBILL	For	None
7	ELECTION OF DIRECTOR: BENJAMIN S. GOLDSTEIN	For	None
8	ELECTION OF DIRECTOR: SIMON B. RICH, JR.	For	None
9	ELECTION OF DIRECTOR: SHERWOOD H. SMITH, JR.	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

10	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY, PURSUANT TO APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL SHARES OF ITS COMMON STOCK OR WARRANTS, OPTIONS OR RIGHTS TO ACQUIRE ITS COMMON STOCK DURING THE NEXT YEAR AT A PRICE BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE (I.E., BOOK VALUE) PER SHARE, SUBJECT TO CERTAIN CONDITIONS.	For	None
----	---	-----	------

 CYS INVESTMENTS, INC

Security:	12673A108	Meeting Type:	Annual
Ticker:	CYS	Meeting Date:	08-May-2015
ISIN	US12673A1088	Vote Deadline Date:	07-May-2015
Agenda	934144368 Management	Total Ballot Shares:	696880
Last Vote Date:	07-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 KEVIN E. GRANT 2 TANYA S. BEDER 3 KAREN HAMMOND 4 JEFFREY P. HUGHES 5 STEPHEN P. JONAS 6 RAYMOND A REDLINGSHAFER 7 DALE A. REISS 8 JAMES A. STERN 9 DAVID A. TYSON, PHD	For	None
2	ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS ("PROPOSAL 2").	For	None
3	TO CONSIDER AND ACT UPON A PROPOSAL TO RATIFY, CONFIRM AND APPROVE THE SELECTION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015 ("PROPOSAL 3")	For	None

 TRIPLEPOINT VENTURE GROWTH BDC CORP.

Security:	89677Y100	Meeting Type:	Annual
Ticker:	TPVG	Meeting Date:	19-May-2015
ISIN	US89677Y1001	Vote Deadline Date:	18-May-2015
Agenda	934209001 Management	Total Ballot Shares:	242409
Last Vote Date:	15-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 SAJAL K. SRIVASTAVA 2 STEPHEN A. CASSANI	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

2 TO RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. For None

TCP CAPITAL CORP.

Security: 87238Q103 Meeting Type: Annual
 Ticker: TCPC Meeting Date: 20-May-2015
 ISIN US87238Q1031 Vote Deadline Date: 19-May-2015
 Agenda 934178117 Management Total Ballot Shares: 453339
 Last Vote Date: 18-May-2015

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 ERIC J. DRAUT 2 FRANKLIN R. JOHNSON 3 PETER E. SCHWAB 4 HOWARD M. LEVKOWITZ 5 RAJNEESH VIG	For	None

2 TO AUTHORIZE THE COMPANY, WITH APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL SHARES OF THE COMPANY'S COMMON STOCK (DURING THE NEXT 12 MONTHS) AT A PRICE OR PRICES BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, SUBJECT TO CERTAIN LIMITATIONS SET FORTH IN THE PROXY STATEMENT (INCLUDING, ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL)). For None

ANNALY CAPITAL MANAGEMENT, INC.

Security: 035710409 Meeting Type: Annual
 Ticker: NLY Meeting Date: 21-May-2015
 ISIN US0357104092 Vote Deadline Date: 20-May-2015
 Agenda 934172800 Management Total Ballot Shares: 367500
 Last Vote Date: 10-Apr-2015

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	ELECTION OF DIRECTOR: WELLINGTON J. DENAHAN	For	None
2	ELECTION OF DIRECTOR: MICHAEL HAYLON	For	None
3	ELECTION OF DIRECTOR: DONNELL A. SEGALAS	For	None
4	THE PROPOSAL TO APPROVE A NON-BINDING ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

5 RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. For None

 KCAP FINANCIAL, INC.

Security:	48668E101	Meeting Type:	Annual
Ticker:	KCAP	Meeting Date:	21-May-2015
ISIN	US48668E1010	Vote Deadline Date:	20-May-2015
Agenda	934181518 Management	Total Ballot Shares:	378295
Last Vote Date:	11-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 DAYL PEARSON 2 CHRISTOPHER LACOVARA	For	None
2	TO APPROVE, IN A NON-BINDING VOTE, THE COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS.	For	None
3	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANT OF THE YEAR ENDING DECEMBER 31, 2015.	For	None

 TPG SPECIALTY LENDING, INC.

Security:	87265K102	Meeting Type:	Annual
Ticker:	TSLX	Meeting Date:	21-May-2015
ISIN	US87265K1025	Vote Deadline Date:	20-May-2015
Agenda	934167708 Management	Total Ballot Shares:	151388
Last Vote Date:	11-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 RICHARD HIGGINBOTHAM 2 RONALD TANEMURA	For	None
2	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015.	For	None

 COMPASS DIVERSIFIED HOLDINGS

Security:	20451Q104	Meeting Type:	Annual
Ticker:	CODI	Meeting Date:	27-May-2015
ISIN	US20451Q1040	Vote Deadline Date:	26-May-2015

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Agenda 934177204 Management Total Ballot Shares: 158200
 Last Vote Date: 16-Apr-2015

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 C. SEAN DAY 2 D. EUGENE EWING	For	None
2	TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF OUR EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	For	None
3	TO RATIFY THE APPOINTMENT OF GRANT THORNTON LLP AS INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015.	For	None

THL CREDIT, INC.

Security: 872438106 Meeting Type: Annual
 Ticker: TCRD Meeting Date: 02-Jun-2015
 ISIN US8724381061 Vote Deadline Date: 01-Jun-2015
 Agenda 934206459 Management Total Ballot Shares: 604238
 Last Vote Date: 28-Apr-2015

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 DAVID K. DOWNES 2 CHRISTOPHER J. FLYNN 3 NANCY HAWTHORNE 4 KEITH W. HUGHES 5 JAMES K. HUNT 6 JAMES D. KERN 7 DAVID P. SOUTHWELL 8 SAM W. TILLINGHAST	For	None
2	APPROVAL OF A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL OR OTHERWISE ISSUE UP TO 25% OF THE COMPANY'S OUTSTANDING COMMON STOCK AT A PRICE BELOW THE COMPANY'S THEN CURRENT NAV.	For	None
3	APPROVAL OF A PROPOSAL TO AUTHORIZE THE COMPANY TO OFFER AND ISSUE DEBT WITH WARRANTS OR DEBT CONVERTIBLE INTO SHARES OF ITS COMMON STOCK AT AN EXERCISE OR CONVERSION PRICE THAT, AT THE TIME SUCH WARRANTS OR CONVERTIBLE DEBT ARE ISSUED, WILL NOT BE LESS THAN THE MARKET VALUE PER SHARES BUT MAY BE BELOW THE COMPANY'S THEN CURRENT NAV.	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

OFS CAPITAL CORPORATION

Security:	67103B100	Meeting Type:	Annual
Ticker:	OFS	Meeting Date:	04-Jun-2015
ISIN	US67103B1008	Vote Deadline Date:	03-Jun-2015
Agenda	934214468 Management	Total Ballot Shares:	170029
Last Vote Date:	02-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 MARC ABRAMS 2 JEFFREY CERNY	For	None
2	TO RATIFY THE SELECTION OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015.	For	None

MEDALLION FINANCIAL CORP.

Security:	583928106	Meeting Type:	Annual
Ticker:	TAXI	Meeting Date:	05-Jun-2015
ISIN	US5839281061	Vote Deadline Date:	04-Jun-2015
Agenda	934212399 Management	Total Ballot Shares:	260360
Last Vote Date:	01-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 STANLEY KREITMAN 2 FREDERICK A. MENOWITZ 3 DAVID L. RUDNICK	For	None
2	PROPOSAL TO RATIFY THE APPOINTMENT OF WEISERMAZARS LLP AS MEDALLION FINANCIAL CORP.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	For	None
3	PROPOSAL TO APPROVE A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	For	None
4	PROPOSAL TO APPROVE THE ADOPTION OF MEDALLION FINANCIAL CORP.'S 2015 EMPLOYEE RESTRICTED STOCK PLAN	For	None
5	PROPOSAL TO APPROVE THE ADOPTION OF MEDALLION FINANCIAL CORP.'S 2015 NON- EMPLOYEE DIRECTOR STOCK OPTION PLAN	For	None

STONE CASTLE FINANCIAL

Security:	861780104	Meeting Type:	Annual
-----------	-----------	---------------	--------

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Ticker:	BANX	Meeting Date:	08-Jun-2015
ISIN		Vote Deadline Date:	05-Jun-2015
Agenda	934194945 Management	Total Ballot Shares:	126744
Last Vote Date:	15-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 EMIL HENRY 2 JOSHUA SIEGEL	For	None
2	TO APPROVE AN AGREEMENT AND PLAN OF REORGANIZATION, PURSUANT TO WHICH THE COMPANY WOULD BE REORGANIZED INTO A NEWLY FORMED DELAWARE STATUTORY TRUST NAMED "STONECASTLE FINANCIAL COMPANY"	For	None

SOLAR CAPITAL LTD

Security:	83413U100	Meeting Type:	Annual
Ticker:	SLRC	Meeting Date:	11-Jun-2015
ISIN	US83413U1007	Vote Deadline Date:	10-Jun-2015
Agenda	934210232 Management	Total Ballot Shares:	321460
Last Vote Date:	30-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 MICHAEL S. GROSS 2 LEONARD A. POTTER	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING) .	For	None

SOLAR SENIOR CAPITAL LTD

Security:	83416M105	Meeting Type:	Annual
Ticker:	SUNS	Meeting Date:	11-Jun-2015
ISIN	US83416M1053	Vote Deadline Date:	10-Jun-2015
Agenda	934210244 Management	Total Ballot Shares:	60136
Last Vote Date:	30-Apr-2015		

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 DAVID S. WACHTER	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING) .	For	None

HORIZON TECHNOLOGY FINANCE CORPORATION

Security:	44045A102	Meeting Type:	Annual
Ticker:	HRZN	Meeting Date:	12-Jun-2015
ISIN	US44045A1025	Vote Deadline Date:	11-Jun-2015
Agenda	934210650 Management	Total Ballot Shares:	217278
Last Vote Date:	30-Apr-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 EDMUND V. MAHONEY 2 ELAINE A. SARYNSKI	For	None
2	TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS HORIZON TECHNOLOGY FINANCE CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015.	For	None

FS INVESTMENT CORPORATION

Security:	302635107	Meeting Type:	Annual
Ticker:	FSIC	Meeting Date:	22-Jun-2015
ISIN	US3026351078	Vote Deadline Date:	19-Jun-2015
Agenda	934219317 Management	Total Ballot Shares:	207466
Last Vote Date:	07-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR	For	None

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

1 DAVID J. ADELMAN
 2 THOMAS J. GRAVINA
 3 PHILIP E. HUGHES, JR.

2 TO AUTHORIZE FLEXIBILITY FOR THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO OFFER AND SELL SHARES DURING THE 12 MONTHS FOLLOWING STOCKHOLDER APPROVAL, AT A PRICE BELOW THE THEN-CURRENT NET ASSET VALUE PER SHARE, SUBJECT TO CERTAIN LIMITATIONS DESCRIBED IN THE PROXY STATEMENT. For None

 STELLUS CAPITAL INVESTMENT CORPORATION

Security:	858568108	Meeting Type:	Annual
Ticker:	SCM	Meeting Date:	26-Jun-2015
ISIN	US8585681088	Vote Deadline Date:	25-Jun-2015
Agenda	934215446 Management	Total Ballot Shares:	164820
Last Vote Date:	05-May-2015		

ITEM	PROPOSAL	RECOMMEN- DATION	DEFAULT VOTE
1	DIRECTOR 1 ROBERT T. LADD 2 J. TIM ARNOULT 3 PAUL KEGLEVIC	For	None
2	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE BOARD, TO SELL OR OTHERWISE ISSUE UP TO 25% OF THE COMPANY'S OUTSTANDING COMMON STOCK AT AN OFFERING PRICE THAT IS BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE.	For	None
3	TO APPROVE THE ADJOURNMENT OF THE ANNUAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.	For	None

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant)

FIRST TRUST SPECIALTY FINANCE

AND FINANCIAL OPPORTUNITIES FUND

By (Signature and Title)* /s/ Mark R. Bradley

Mark R. Bradley, President

Date July 17, 2015

* Print the name and title of each signing officer under his or her signature.