Moran James M Form 4 February 20, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

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0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b). (Print or Type Responses)

1. Name and Address of Reporting Person \* Moran James M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) DOVER Corp [DOV] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year) 02/15/2019

Director 10% Owner X\_ Officer (give title Other (specify

below)

Vice President and Treasurer

C/O DOVER CORPORATION, 3005

HIGHLAND PARKWAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**DOWNERS GROVE, IL 60515** 

(Street)

| (City)                 | (State) (Z   | Zip) Table              | I - Non-Do                                  | erivative Sec              | curities Ac  | quired, Disposed                                   | of, or Beneficia                     | lly Owned                             |  |
|------------------------|--|-------------------------|---|----------------------------|--|--|--------------------------------------|---------------------------------------|--|
| 1.Title of<br>Security | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if |                         | 3. 4. Securities TransactionAcquired (A) or |                            |  | 5. Amount of 6. Owners: Securities Form: Direction |                                      | ı.                                    |  |
| (Instr. 3)             | ` <b>,</b>   | any<br>(Month/Day/Year) | Code (Instr. 8)                             | Disposed of (Instr. 3, 4 a | f (D)  | Beneficially<br>Owned<br>Following                 | (D) or<br>Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|                        |  |                         | Code V                                      |                            | (A)<br>or<br>(D) Price                                 | Reported<br>Transaction(s)<br>(Instr. 3 and 4)     |                                      |                                       |  |
| Common<br>Stock        | 02/15/2019   |                         | A   | 439 <u>(1)</u> A           | $\begin{array}{cc} \$ \ 0 \\ \frac{(1)}{} \end{array}$ | 2,069 (2)  | D                                    |                                       |  |
| Common<br>Stock        |  |                         |   |                            |  | 409  | Ι                                    | By 401(k)<br>Plan                     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                     | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                 |                                       |
|---|---|---|---|--|--------|--|---------------------|---|-----------------|---------------------------------------|
|   |   |   |   | Code V   | and 5) | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amour<br>or<br>Number<br>of<br>Shares |
| Stock<br>Appreciation<br>Right                      | \$ 91.2   | 02/15/2019                              |   | A  | 5,263  |  | 02/15/2022          | 02/15/2029  | Common<br>Stock | 5,26                                  |

# **Reporting Owners**

| Departing Owner Name / | A ddmood | Relationships |
|------------------------|----------|---------------|
|                        |          |               |

Director 10% Owner Officer Other

Moran James M C/O DOVER CORPORATION 3005 HIGHLAND PARKWAY DOWNERS GROVE, IL 60515

Vice President and Treasurer

### **Signatures**

/s/ James M. Moran by Alison M. Rhoten, Attorney-in-fact

02/20/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents grant of restricted stock units. Each restricted stock unit represents a contingent right to receive one share of Dover common stock. The restricted stock units will vest in three annual installments beginning on March 15, 2020.
- Reflects adjustments to unvested restricted stock unit awards in connection with the separation of Apergy Corporation from the Issuer that (2) was effected on May 9, 2018, to preserve the value of the Issuer securities as contemplated in the Employee Matters Agreement that was entered into by the Issuer and Apergy Corporation on that date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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