Paul Cynthia Form 4 December 20, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

1(b).

(Last)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Paul Cynthia

(Middle)

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

DSP GROUP INC /DE/ [DSPG]

3. Date of Earliest Transaction

(Month/Day/Year) 12/19/2018

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HERZELIA, L3 4672505

5 SHENKAR STREET

							i cison		
(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-D	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/19/2018		P(1)	3,255 (2)	A	\$ 10.85	114,183 (3)	I	By Lynrock Lake LP
Common Stock	12/20/2018		P(1)	2,782 (2)	A	\$ 10.75	116,965 (3)	I	By Lynrock Lake LP
Common Stock	12/20/2018		P(1)	15,817 (2)	A	\$ 10.85	132,782 (3)	I	By Lynrock Lake LP
Common Stock							105,871 (3)	I	By Lynrock Lake

Master Fund LP

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Common Stock 3,000 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. stiorNumber of Securities Acquires (A) or Dispose of (D) (Instr. 3, 4, and 5	(Month/Day, ve es d	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

#### **Reporting Owners**

Relationships						
ector 10%	% Owner	Officer Other				
X						

## **Signatures**

/s/ Cynthia Paul 12/20/2018

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchased pursuant to a 10b5-1 Plan adopted by Lynrock Lake LP and the Reporting Person.
- (2) The reporting person is a general partner of the partnership that owns the reported securities. The reporting person disclaims beneficial ownership of the reported securities except to the extent of her pecuniary interest therein.

Reporting Owners 2

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(3) Represents an aggregate of 238,653 shares outstanding held indirectly through partnerships and 3,000 unvested RSUs held directly. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.