

NATURAL ALTERNATIVES INTERNATIONAL INC
Form 144
June 13, 2018

UNITED STATES

OMB
APPROVAL

OMB
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SECURITIES AND
EXCHANGE COMMISSION

Washington, D.C. 20549

Expires:
May 13, 2017

FORM 144

Estimated
average
burden hours
per
response.....1.00

SEC USE
ONLY

NOTICE OF PROPOSED
SALE OF SECURITIES

PURSUANT TO RULE 144
UNDER THE SECURITIES
ACT OF 1933

DOCUMENT
SEQUENCE
NO.

ATTENTION: *Transmit for
filing 3 copies of this form
concurrently with either placing
an order with a broker to
execute sale or executing a sale
directly with a market maker.*

CUSIP
NUMBER

1
(a) NAME
OF
ISSUER

(Please
type or (b) IRS (c) S.E.C.
print) IDENT.NO. FILE NO.

WORK
LOCATION

Natural 84-1007839 000-15701
Alternatives
International,
Inc.

1 (d)
ADDRESS STREET CITY STATE ZIP CODE (e) TELEPHONE NO.
OF
ISSUER

AREA CODE NUMBER

1535 Faraday Avenue Carlsbad California 92008 (760) 744-7340

2 (a) NAME
OF PERSON
FOR WHOSE
ACCOUNT
THE
SECURITIES
ARE TO BE
SOLD

(b) RELATIONSHIP

Mark LeDoux TO ISSUER

and persons

whose sales Mark LeDoux is

are required an officer,

by Rule 144 to director and 10%

be aggregated stockholder.

as follows:

(c) ADDRESS STREET CITY STATE ZIP
CODE

c/o 1535 Faraday Avenue Carlsbad California 92008

(i) Mark

LeDoux

individually;

and

Julie LeDoux is

the President and

the spouse of

Mark LeDoux.

(ii) Dream

Farm Creative

Arts

A New

Hampshire

Nonprofit

Corporation.

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)

Title of the
Class of

(b)

SEC USE
ONLY

(c)

(d)

(e)

(f)

(g)

Aggregate Number of Shares Approximate Name of Each

Securities To Be Sold	Name and Broker-Dealer Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	File Number	Number of Shares Or Other Units To Be Sold	Market Value (See instr. 3(d))	Or Other Units Outstanding (See instr. 3(e))	Date of Sale (See instr. 3(f)) (MO. DAY YR.)	Securities Exchange (See instr. 3(g))
	Wells Fargo Advisors			\$27,500			
Common Stock	12531 High Bluff Drive, # 400 San Diego, CA 92130		2,500	(as of June 6, 2018)	7,429,020	June 15, 2018	NASDAQ
	Edward Jones			\$11,100			
Common Stock	3 C Market Place Hollis, NH 03049		1,000	(as of June 6, 2018)	7,429,020	June 15, 2018	NASDAQ

INSTRUCTIONS:

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|---|--|
| <p>1.(a) Name of issuer</p> <p>(b) Issuer's I.R.S. Identification Number</p> <p>(c) Issuer's S.E.C. file number, if any</p> <p>(d) Issuer's address, including zip code</p> <p>(e) Issuer's telephone number, including area code</p> <p>2.(a) Name of person for whose account the securities are to be sold</p> <p style="padding-left: 40px;">Such person's relationship to the issuer (e.g.,</p> <p>(b) officer, director, 10% stockholder, or member of immediate family of any of the foregoing)</p> <p>(c) Such person's address, including zip code</p> | <p>3.(a) Title of the class of securities to be sold</p> <p>(b) Name and address of each broker through whom the securities are intended to be sold</p> <p>(c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)</p> <p style="padding-left: 40px;">Aggregate market value of the securities to be sold as of a</p> <p>(d) specified date within 10 days prior to the filing of this notice</p> <p style="padding-left: 40px;">Number of shares or other units of the class outstanding, or</p> <p>(e) if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer</p> <p>(f) Approximate date on which the securities are to be sold</p> <p>(g) Name of each securities exchange, if any, on which the securities are intended to be sold</p> |
|---|--|

Potential persons who are to respond to the collection of information contained in this form are not

SEC 1147
(08-07)

required to respond unless the form displays a currently valid OMB control number.

TABLE I – SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired <i>(If gift, also give date donor acquired)</i>	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common Stock ¹	2/1/1995	Market Purchase ²	Market Purchase	2,500	2/1/1995	Cash
Common Stock ³	12/14/2017	Gift	The Marie LeDoux Foundation	2,000	N/A	Gift

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II – SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
Mark LeDoux 1535 Faraday Avenue Carlsbad, CA 92008	Common Stock	March 7, 2018	6,265 ⁴	\$74,527.87

REMARKS: (1) Shares to be sold by Mark LeDoux

(2) Common Stock acquired by market purchase at prevailing price at time.

(3) Shares to be sold by Dream Farm Creative Arts, a New Hampshire Nonprofit Corporation

(4) Shares surrendered to Company for market value on date of surrender in exchange for Company paying withholding of income taxes due upon vesting of prior Restricted Stock Grants

INSTRUCTIONS:

See the definition of “person” in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

06/12/2018 /s/ Mark LeDoux
DATE OF NOTICE Mark LeDoux

/s/ Julie LeDoux
Julie LeDoux,
solely with respect
to the non-profit
corporation listed
in box 2(a)(ii)
above
(SIGNATURE)

DATE OF PLAN ADOPTION OR
GIVING OF INSTRUCTION,
IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)