

Enservco Corp
Form SC 13G/A
February 16, 2016
UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

(Amendment No. 4)*

Under the Securities Exchange Act of 1934

ENSERVCO CORPORATION

(Name of Issuer)

Common Stock, par value \$.005 per share

(Title of Class of Securities)

29358Y 102

(CUSIP Number)

Rick D. Kasch

501 So. Cherry Street, Suite 1000

Denver, CO 80246

303-333-3678

(Name, Address and Telephone Number of Person

Authorized to Receive Notices and Communications)

January 31, 2015

(Date of Event which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

☒ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. **29358Y 102**

1 NAME OF REPORTING PERSONS

Rick D. Kasch

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) ☐

(b) ☐

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORIGIN

Colorado, USA

Number of 5. Sole Voting Power: 3,121,824¹
Shares

Beneficially 6. Shared Voting Power: N/A

Owned by

Each 7. Sole Dispositive Power: 3,121,824

Reporting

Person With: 8. Shared Dispositive Power: N/A

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,121,824

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

☐

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.9%

12TYPE OF REPORTING PERSON

IN

ITEM 1. NAME AND ADDRESS OF ISSUER

(a) Name of Issuer:

Enservco Corporation

¹ Includes vested options and warrants held by Mr. Kasch as described herein.

(b) Address of Issuer's Principal Executive Offices

501 So. Cherry Street, Suite 1000, Denver, CO 80246

Telephone: **303-333-3678**

ITEM 2. IDENTITY AND BACKGROUND

(a) Name of Person Filing:

Rick D. Kasch

(b) Address of Principal Business Office of Person Filing:

501 So. Cherry Street, Suite 1000, Denver, CO 80246

(c) Citizenship:

United States of America

(d) Title of Class of Securities

Common stock

(e) CUSIP Number

29358Y 102

ITEM 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b), check whether the person filing is a:

- a. Broker or Dealer registered under Section 15 of the Act
- b. Bank as defined in Section 3(a)(6) of the Act
- c. Insurance Company as defined in Section 3(a)(19) of the Act
- d. Investment company registered under Section 8 of the Investment Company Act
- e. Investment Adviser in accordance with Rule 13d-1(b)(1)(ii)(E)
- f. Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F)
- g. Parent holding company or control person in accordance with Rule 13d-1(b)(ii)(G)
- h. A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act
- i. A church plan that is excluded from the definition of an investment company under § 3(c)(4) of the Investment Company Act
- j. A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J)
- h. Group, in accordance with Rule 13d-1(b)(1)(ii)(K)

If filing as a non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J), please specify the type of institution.

Not applicable.

ITEM 4. OWNERSHIP

(a) Amount beneficially owned:

3,121,824

Consisting of:

1. 1,849,324 shares of common;

Options to acquire 210,000 shares of common stock granted on April 8, 2015, that vest over three years

2. commencing January 1, 2016 (with the final vesting increment on January 1, 2018), and that are exercisable for a five-year term at \$1.74 per share;

3. Options to acquire 600,000 shares of common stock that are fully vested and are exercisable until July 19, 2016 at \$1.10 per share;

4. Options to acquire 300,000 shares of common stock that are fully vested and are exercisable until June 6, 2017 at \$0.46 per share.

5. Options to acquire 125,000 shares of common stock that are fully vested and are exercisable until June 6, 2017 at \$0.46 per share.

6. Warrants to purchase 37,500 shares of common stock issued on November 2, 2012, and that are exercisable until November 30, 2017 at \$0.55 per share.

(b) Percent of Class

7.9%

(c) Number of shares as to which the person has:

(i) Sole power to vote or direct the vote: **3,121,824²**

(ii) Shared power to vote or direct the vote: **-0-**

(iii) Sole power to dispose or direct the disposition of: **3,121,824**

(iv) Shared power to dispose or direct the disposition of: **-0-**

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

Not applicable.

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

Not applicable.

² Includes vested options and warrants held by Mr. Kasch which are exercisable within 60 days as described in Rule 13d-3.

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY OR CONTROL PERSON.

Not applicable.

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

Not applicable.

ITEM 9. NOTICE OF DISSOLUTION OF GROUP

Not applicable.

ITEM 10. CERTIFICATIONS

By signing below, the undersigned certifies that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under Rule 14a-11.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 15, 2016

/s/ Rick D. Kasch
By: Rick D. Kasch