### Edgar Filing: NATURAL ALTERNATIVES INTERNATIONAL INC - Form 4

### NATURAL ALTERNATIVES INTERNATIONAL INC

Form 4

March 11, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* LEDOUX MARK A

2. Issuer Name and Ticker or Trading

Symbol

NATURAL ALTERNATIVES INTERNATIONAL INC [NAII] 5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

03/07/2014

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) CEO/Chairman

1185 LINDA VISTA DRIVE

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SAN MARCOS, CA 92078

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Ac	quired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock (1)	03/07/2014		A	25,000 (2)	A	\$0	226,907	D	
Common Stock (3)	03/07/2014		F	2,221	D	\$ 5.56	224,686	D	
Common Stock							34,000	I	By Self as Trustee for the LeDoux Family Trust U/D/T December 21, 1992

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Common Stock	557,851	I	By LeDoux Family Limited Partnership		
Common Stock	41,416	I	By IRA		
Common Stock	7,200	I	By Self as Custodian for Marcelle Jeannette LeDoux		
Common Stock	800	I	By Self as Custodian for Jean-Marc Emile LeDoux		
Common Stock	28,000	I	By 401(K)		
Reminder: Report on a separate line for each class of securities benef	icially owned directly or indirectly.				
Persons who respond to the collection of					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

required to respond unless the form displays a currently valid OMB control

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Tit	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Da	ate Amou		ınt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative			Securities				(Instr	. 3 and 4)		(
	Security				Acquired						J
					(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Expira Exercisable Date	Expiration	n Title	Number		
							Date		of		
				Code V	(A) (D)				Shares		
				Code v	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

### Edgar Filing: NATURAL ALTERNATIVES INTERNATIONAL INC - Form 4

Director 10% Owner Officer Other

LEDOUX MARK A 1185 LINDA VISTA DRIVE SAN MARCOS, CA 92078

CEO/Chairman

### **Signatures**

/s/ Kenneth E. Wolf on behalf of Mr. LeDoux pursuant to a Power of Attorney

03/10/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents a grant of restricted stock pursuant to the Issuer's 2009 Omnibus Incentive Plan.
- One third (8,333 shares) of the restricted stock vests on March 7, 2015; one third (8,333 shares) of the restricted stock vests on March 7, 2016, and the final third (8,334 shares) of the restricted stock vests on March 7, 2017.
- (3) This transaction represents a resale of 2,221 shares to the Issuer as payment of the reporting person's tax liability upon vesting of 6,800 shares of restricted stock as of March 7, 2014, using the closing stock price on March 7, 2014 of \$5.56 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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