#### FIELDS RANDALL K

Form 4

February 14, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* FIELDS RANDALL K

2. Issuer Name and Ticker or Trading Symbol

PARK CITY GROUP INC [PCYG]

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

3. Date of Earliest Transaction

Director

10% Owner

(Check all applicable)

C/O PARK CITY GROUP, INC., 299 SOUTH MAIN STREET,

(First)

**SUITE 2225** 

(Last)

(Month/Day/Year)

01/27/2018

Other (specify Officer (give title below)

CHIEF EXECUTIVE OFFICER

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SALT LAKE CITY, UT 84111

(City)	(State)	(Zip) Tak	ole I - Non-	Derivative	Secu	rities A	cquired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Series B-1 Preferred Stock	01/27/2018		J <u>(1)</u>	Amount 69,247	` ,	\$ 10.7	78,123	I	By Riverview Financial Corp
Series B-1 Preferred Stock	01/27/2018		<u>J(1)</u>	13,852	D	\$ 10.7	121,936	I	By Fields Management, Inc.
Common Stock							3,699,214 (2)	D	
Common Stock							650,693	I	By Riverview Financial

### Edgar Filing: FIELDS RANDALL K - Form 4

			Corp.		
Common Stock	30,667	I	By Spouse		
Common Stock	1,289,230 (3)	I	By Fields Management		
Series B Preferred Stock	531,432	I	By Riverview Financial Corp		
Series B Preferred Stock	14,450	I	By Spouse		
Common Stock	205,000	I	By Charitable 2010, LLC		
Series B-1 Preferred Stock	657	I	By Spouse		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of SEC 1474					

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. 5. TransactionNumber		6. Date Exercisable and		7. Title and Amount of	
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any	Code	onNumber of	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e	car)	(HISH, 3 and	7)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 3.6					03/14/2013	03/14/2018	Common Stock	6,875
Warrant	\$ 10					01/26/2015	01/26/2020	Common Stock	3,178
Warrants	\$ 4					02/05/2015	02/05/2020	Common Stock	914,065

(9-02)

De Sec (In Warrants \$ 4 02/05/2015 02/05/2020 Common Stock 40,250

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FIELDS RANDALL K C/O PARK CITY GROUP, INC. 299 SOUTH MAIN STREET, SUITE 2225 SALT LAKE CITY, UT 84111

CHIEF EXECUTIVE OFFICER

### **Signatures**

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported shares of Series B-1 Preferred Stock were called for redemption by the Issuer at a price of \$10.70 per share.
- (2) Includes 5,157 unvested shares of common stock.
- (3) Includes 771,587 unvested shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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