

HERZFELD CARIBBEAN BASIN FUND INC
Form N-PX
August 26, 2013

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-06445

The Herzfeld Caribbean Basin Fund, Inc.

(Exact name of registrant as specified in charter)

119 Washington Avenue, Suite 504, Miami 33139
Beach, FL
(Address of principal executive offices) (Zip code)

(Name and address of agent for service)

Registrant's telephone number, including area code: 305-271-1900

Date of fiscal year end: 6/30

Date of reporting period: year-ended 6/30/13

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

SEC 2451 Persons who are to respond to the collection of information contained in
(4-03) this form are not required to respond unless the form displays a currently
 valid OMB control number.

ITEM 1. PROXY VOTING RECORD:

Vote Summary

ULTRAPETROL (BAHAMAS) LIMITED

Security P94398107 Meeting Type Special
 Ticker ULTR Meeting Date 02-Jul-2012
 Symbol
 ISIN BSP943981071 Vote Deadline Date 29-Jun-2012
 Agenda 933658986 - Management Total Ballot Shares 28,000
 Last Vote Date 14-Jun-2012

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Increase Share Capital	Management	For	28,000	0	0	0
2.	Approve Article Amendments	Management	For	28,000	0	0	0

GRUPO CASA SABA, S.A.B. DE C.V.

Security 40048P104 Meeting Type Special
 Ticker SAB Meeting Date 17-Aug-2012
 Symbol
 ISIN US40048P1049 Vote Deadline Date 14-Aug-2012
 Agenda 933674877 - Management Total Ballot Shares 15,273
 Last Vote Date 6-Aug-2012

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
E1	Approve Private Placement	Management	For	15,273	0	0	0
E2	Approve Previous Board's Actions	Management	For	15,273	0	0	0

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O1	Approve Financial Statements, Allocation of Income, and Discharge Directors	Management	For	15,273	0	0	0
O2	Dividends	Management	For	15,273	0	0	0
O3	Increase Share Capital	Management	For	15,273	0	0	0
O4	Acceptance of Resignation, Appointment Proposal and Ratification of the CEO of the Company	Management	For	15,273	0	0	0
O5	Approve Previous Board's Actions	Management	For	15,273	0	0	0

IMPELLAM GROUP PLC, LUTON

Security G47192102 Meeting Type Ordinary General Meeting

Ticker Symbol Meeting Date 03-Sep-2012

ISIN GB00B2Q2M073 Vote Deadline Date 28-Aug-2012

Agenda 704021005 - Management Total Ballot Shares 13,000

Last Vote Date 21-Aug-2012

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1	To approve the Consolidation of the Company's share capital	Management	For	13,000	0	0	0
2	To authorize and direct the Directors to effect the sale on behalf of the relevant shareholders of the fractions of A Ordinary Shares arising on the Consolidation to Cenkos Securities plc ("Cenkos")	Management	For	13,000	0	0	0
3	To approve the off-market purchase of	Management	For	13,000	0	0	0

the aggregate amount of the fractions of A Ordinary Shares sold to Cenkos pursuant to Resolution 2 (or, if the Subdivision occurs before the Share Purchase, the equivalent number of New Ordinary Shares) by the Company and the terms of the related Share Purchase Contract

4	To approve Subdivision of the Company's share capital	Management	For	13,000	0	0	0
5	Grant Directors the power to buy back a maximum of 4,400, 568 New Ordinary Shares in the Company	Management	For	13,000	0	0	0

SHELLPROOF LTD

Security P8598Z106 Meeting Type Special General Meeting

Ticker Symbol Meeting Date 24-Oct-2012

ISIN BZP8598Z1062 Vote Deadline Date 18-Oct-2012

Agenda 704088132 - Management Total Ballot Shares 4,420

Last Vote Date 18-Oct-2012

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1	Approve merger with Shellproof Plc	Management	For	4,420	0	0	0

IMPELLAM GROUP PLC, LUTON

Security G47192110

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Ticker Symbol	Meeting Date	Meeting Type	Ordinary General Meeting					
ISIN	GB00B8HWGJ55	Vote Deadline Date	16-Nov-2012					
Agenda	704153903 - Management	Total Ballot Shares	13,000					
Last Vote Date	9-Nov-12							
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action	
1	To approve the Capital Reduction and the release of all claims that the Company may have against its Shareholders in relation to the Affected Transactions	Management	For	13,000	0	0	0	
2	To approve the release of all claims that the Company may have against its directors in relation to the Affected Transactions	Management	For	13,000	0	0	0	
3	To approve the grant by the Company to Cheryl Jones of options to acquire an aggregate of 500,000 ordinary shares in the Company	Management	For	13,000	0	0	0	

CUBAN ELECTRIC COMPANY

Security	229615109	Meeting Type	Annual					
Ticker Symbol	CGAR	Meeting Date	30-Nov-2012					
ISIN	US2296151093	Vote Deadline Date	29-Nov-2012					
Agenda			700					

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	933699716 - Management	Total Ballot Shares					
Last Vote Date	9-Nov-12						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Election of Directors	Management					
1	MATTHEW BROAD		For	700	0	0	0
2	IRVING LITTMAN		For	700	0	0	0
3	DEBORAH O'CONNOR		For	700	0	0	0

ULTRAPETROL (BAHAMAS) LIMITED

Security	P94398107	Meeting Type	Annual				
Ticker Symbol	ULTR	Meeting Date	07-Dec-2012				
ISIN	BSP943981071	Vote Deadline Date	06-Dec-2012				
Agenda	933716079 - Management	Total Ballot Shares	28,000				
Last Vote Date	05-Dec-2012						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Receive Consolidated Financial Statements	Management	For	28,000	0	0	0
2A.	Election of Directors (Majority Voting): Felipe Menendez Ross	Management	For	28,000	0	0	0
2B.	Election of Directors (Majority Voting): Ricardo Menendez Ross	Management	For	28,000	0	0	0
2C.	Election of Directors (Majority Voting): Leonard J. Hoskinson	Management	For	28,000	0	0	0
2D.	Election of Directors (Majority Voting): Michael C. Hagan	Management	For	28,000	0	0	0
2E.		Management	For	28,000	0	0	0

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Election of Directors
(Majority Voting):
George Wood

2F.	Election of Directors (Majority Voting): Fernando Barros Tocornal	Management	For	28,000	0	0	0
2G.	Election of Directors (Majority Voting): Eduardo Ojea Quintana	Management	For	28,000	0	0	0
3.	Approve Previous Board's Actions	Management	For	28,000	0	0	0

PRICESMART, INC

Security	741511109	Meeting Type	Annual
Ticker Symbol	PSMT	Meeting Date	22-Jan-2013
ISIN	US7415111092	Vote Deadline Date	18-Jan-2013
Agenda	933716815 - Management	Total Ballot Shares	14,800
Last Vote Date	15-Jan-2013		

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Election of Directors	Management					
1	SHERRY S. BAHRAMBEYGUI		For	14,800	0	0	0
2	GONZALO BARRUTIETA		For	14,800	0	0	0
3	KATHERINE L. HENSLEY		For	14,800	0	0	0
4	LEON C. JANKS		For	14,800	0	0	0
5	JOSE LUIS LAPARTE		For	14,800	0	0	0
6	MITCHELL G. LYNN		For	14,800	0	0	0
7	ROBERT E. PRICE		For	14,800	0	0	0
8	EDGAR ZURCHER		For	14,800	0	0	0
2.		Management	For	14,800	0	0	0

Approve Stock
Compensation Plan

SIDERURGICA VENEZOLANA "SIVENSA", S.A.

Security	825865603	Meeting Type	Annual
Ticker Symbol	SDNVY	Meeting Date	29-Jan-2013
ISIN	US8258656037	Vote Deadline Date	25-Jan-2013
Agenda	933728808 - Management	Total Ballot Shares	895
Last Vote Date	25-Jan-2013		

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Consider and Resolve Financial Statements	Management	None	895	0	0	0
2.	Consider and Resolve Appointment of Principal and Alternate Members of the Board of Directors	Management	None	895	0	0	0
3.	Consider and Resolve Appointment of Internal Comptroller	Management	None	895	0	0	0
4.	Consider and Resolve Judicial Representatives	Management	None	895	0	0	0
5.	Presentation of the Annual Report about the Accomplishment of the Corporate Governance Principles	Management	None	895	0	0	0
6.	Consider and Resolve Amendment of Bylaws	Management	None	895	0	0	0
7.	If Approved, to Rewrite the Bylaws Incorporating Amendments	Management	None	895	0	0	0

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SIDERURGICA VENEZOLANA "SIVENSA", S.A.

Security 825865702 Meeting Annual
Type
Ticker SDNWY Meeting Date 29-Jan-2013
Symbol
ISIN US8258657027 Vote 25-Jan-2013
Deadline
Date
Agenda 933728896 - Management Total Ballot 79
Shares
Last 25-Jan-2013
Vote
Date

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1.	Consider and Resolve Financial Statements	Management	None	79	0	0	0
2.	Consider and Resolve Appointment of Principal and Alternate Members of the Board of Directors	Management	None	79	0	0	0
3.	Consider and Resolve Appointment of Internal Comptroller	Management	None	79	0	0	0
4.	Consider and Resolve Judicial Representatives	Management	None	79	0	0	0
5.	Presentation of the Annual Report about the Accomplishment of the Corporate Governance Principles	Management	None	79	0	0	0
6.	Consider and Resolve Amendment of Bylaws	Management	None	79	0	0	0
7.	If Approved, to Rewrite the Bylaws Incorporating Amendments	Management	None	79	0	0	0

KIMBERLY-CLARK DE MEXICO SAB DE CV

Security P60694117 Meeting MIX
Type
Meeting Date 27-Feb-2013

Ticker
Symbol

ISIN MXP606941179 Vote Deadline
Date

22-Feb-2013

Agenda 704272183 - Management Total Ballot Shares

18,300

Last
Vote
Date

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
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CMMT	PLEASE NOTE THAT ONLY MEXICAN NATIONALS HAVE VOTING RIGHTS AT THIS MEETING. IF YOU ARE A MEXICAN NATIONAL AND WOULD LIKE TO SUBMIT YOUR VOTE ON THIS MEETING PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE. THANK YOU.	Non-Voting	None	Non-Voting			
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A.I	Presentation and, if deemed appropriate, approval of the report from the general director prepared in accordance with article 172 of the general mercantile companies law, accompanied by the opinion of the outside auditor, regarding the operations and results of the company for the fiscal year that-ended on December 31, 2012, as well as the opinion from the board of directors regarding the content of that report,	Non-Voting	None	Non-Voting			
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presentation and, if deemed appropriate, approval of the report from the board of directors that is referred to in article 172, line b, of the general mercantile companies law in which are contained the main accounting and information policies and criteria followed in the preparation of the financial information of the company, presentation and, if deemed appropriate, approval of the financial statements of the CONTD

CONT	<p>CONTD company to December 31, 2012, and allocation of the results from the fiscal year, presentation and, if deemed appropriate, approval of the report regarding the fulfillment of the tax obligations that are the responsibility of the company, presentation and, if deemed appropriate, approval of the annual report regarding the activities carried out by the audit and corporate practices committee. Resolutions in this regard</p>	Non-Voting	None	Non-Voting
A.II	<p>Presentation and, if deemed appropriate, approval of the proposal from the board of directors for the payment of a cash dividend, coming from</p>	Non-Voting	None	Non-Voting

the balance of the net fiscal profit account in the amount of MXN 1.32 per share, for each one of the common, nominative, class a and b shares, with no stated par value. This dividend will be paid in four installments of MXN 0.33 per share, on April 4, July 4, October 3 and December 5, 2013. Resolutions in this regard

A.III	Appointment and or ratification of the members of the board of directors, both full and alternate, as well as of the chairperson of the audit and corporate practices committee, classification regarding the independence of the members of the board of directors of the company, in accordance with that which is established in article 26 of the securities market law. Resolutions in this regard	Non-Voting	None	Non-Voting
A.IV	Compensation for the members of the board of directors and of the various committees, both full and alternate, as well as for the secretary of the company. Resolutions in this regard	Non-Voting	None	Non-Voting
A.V	Presentation and, if deemed appropriate, approval of the report from the board of directors regarding the	Non-Voting	None	Non-Voting

policies of the company in regard to the acquisition of its own shares and, if deemed appropriate, placement of the same, proposal, and if deemed appropriate, approval of the maximum amount of funds that can be allocated to the purchase of shares of the company for the 2013 fiscal year

E.VI	<p>Proposal to cancel up to 29,678,520 common, nominative, class i shares, with no stated par value, representative of the fixed part of the share capital, coming from the share repurchase program that are being held in the treasury of the company, of which 15,521,820 are series a shares and 14,156,700 are series b shares, proposal and, if deemed appropriate, approval of the amendment of article 5 of the corporate bylaws of the company, for the purpose of reflecting the corresponding decrease in the fixed part of the share capital. Resolutions in this regard</p>	Non-Voting	None	Non-Voting
E.VII	<p>Designation of delegates who will formalize and carry out the resolutions passed by the annual and extraordinary general meeting of shareholders</p>	Non-Voting	None	Non-Voting

COCA-COLA FEMSA, S.A.B DE C.V.

Security	191241108	Meeting Type	Annual				
Ticker Symbol	KOF	Meeting Date	05-Mar-2013				
ISIN	US1912411089	Vote Deadline Date	01-Mar-2013				
Agenda	933736273 - Management	Total Ballot Shares	11,618				
Last Vote Date	27-Feb-2013						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
V	Election of Members and Secretaries of the Board of Directors, Qualification of their Independence, in accordance with the Mexican Securities Market Law, and Resolution with Respect to their Remuneration	Management	None	11,618	0	0	0

WAL-MART DE MEXICO SAB DE CV, MEXICO

Security	P98180105	Meeting Type	Ordinary General Meeting				
Ticker Symbol		Meeting Date	14-Mar-2013				
ISIN	MXP810081010	Vote Deadline Date	11-Mar-2013				
Agenda	704284532 - Management	Total Ballot Shares	190,222				
Last Vote Date	11-Mar-2013						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
I	Board's report	Management	For	190,222	0	0	0

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II	General director's report	Management	For	190,222	0	0	0
III	Audit and corporate practices committees' report	Management	For	190,222	0	0	0
IV	Approval of consolidated financial statements	Management	For	190,222	0	0	0
V	Approval of allocation of profits	Management	For	190,222	0	0	0
VI	Approval of one ordinary and two extraordinary dividend payments	Management	For	190,222	0	0	0
VII	Report on repurchase fund and proposal to authorize new repurchase fund	Management	For	190,222	0	0	0
VIII	Approval if the project to cancel shares repurchased by the company and which are currently treasury shares	Management	For	190,222	0	0	0
IX	Report on the compliance with tax obligations	Management	For	190,222	0	0	0
X	Report on the share plan for the personnel	Management	For	190,222	0	0	0
XI	Report on Foundation Wal-Mart De Mexico	Management	For	190,222	0	0	0
XII	Ratification of resolutions adopted by Board during 2012	Management	For	190,222	0	0	0
XIII	Appointment or ratification of the members of the Board	Management	For	190,222	0	0	0
XIV	Appointment of the chairmen of the audit and corporate practices committees	Management	For	190,222	0	0	0
XV	Approval of compensation to the directors and officers of the board of directors	Management	For	190,222	0	0	0

CMMT PLEASE NOTE Non-Voting None Non-Voting
 THAT THIS IS A
 REVISION DUE TO
 MODIFICATION IN
 THE TEXT OF
 RESOLUTION XI. IF
 YOU HAVE
 ALREADY SENT IN
 YOUR VOTES,
 PLEASE DO NOT
 RETURN THIS
 PROXY FORM
 UNLESS YOU
 DECIDE TO AMEND
 YOUR ORIGINAL
 INSTRUCTIONS.
 THANK YOU.

WAL-MART DE MEXICO SAB DE CV, MEXICO

Security P98180105 Meeting Type ExtraOrdinary General Meeting
 Ticker Symbol Meeting Date 14-Mar-2013
 ISIN MXP810081010 Vote Deadline Date 11-Mar-2013
 Agenda 704289897 - Management Total Ballot Shares 190,222
 Last Vote Date 11-Mar-2013

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
I	Full amendment to the bylaws	Management	For	190,222	0	0	0
II	Approval of resolutions comprised in the minutes of the meeting held	Management	For	190,222	0	0	0

FOMENTO ECONOMICO MEXICANO SAB DE CV, MEXICO

Security P4182H115 Meeting Type Annual General Meeting
 Meeting Date 15-Mar-2013

Ticker
Symbol

ISIN MXP320321310 Vote 11-Mar-2013
Deadline
Date

Agenda 704280762 - Total Ballot 18,900
Management Shares

Last 11-Mar-2013
Vote
Date

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
I	Reports and Financial Statements	Management	For	18,900	0	0	0
II	Report regarding the fulfillment of the tax obligations	Management	For	18,900	0	0	0
III	Allocation of the results account from the 2012 fiscal year, in which are included the declaration and payment of a cash dividend	Management	For	18,900	0	0	0
IV	Repurchase plan	Management	For	18,900	0	0	0
V	Election of the members of the board of directors and secretaries, classification of their independence, in accordance with the terms of the securities market law, and determination of their compensation	Management	For	18,900	0	0	0
VI	Election of members of the finance and planning committee, audit committee and corporate practices committee, designation of the chairperson of each one of them and determination of their compensation	Management	For	18,900	0	0	0
VII	Appointment of delegates to formalize	Management	For	18,900	0	0	0

the resolution of the
general meeting

VIII	Reading and approval of the general meeting minutes	Management	For	18,900	0	0	0
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FOMENTO ECONOMICO MEXICANO S.A.B. DE CV

Security	344419106	Meeting Type	Annual
Ticker Symbol	FMX	Meeting Date	15-Mar-2013
ISIN	US3444191064	Vote Deadline Date	12-Mar-2013
Agenda	933737326 - Management	Total Ballot Shares	4,000
Last Vote Date	11-Mar-2013		

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
O1.	Approve Previous Board's Actions	Management	None	4,000	0	0	0
O2.	Receive Directors' Report	Management	None	4,000	0	0	0
O3.	Receive Consolidated Financial Statements	Management	None	4,000	0	0	0
O4.	Stock Repurchase Plan	Management	None	4,000	0	0	0
O5.	Election of Directors (Opposition Slate)	Management	None	4,000	0	0	0
O6.	Ratify Appointment of Independent Auditors	Management	None	4,000	0	0	0
O7.	Appointment of Delegates for the Formalization of the Meeting's Resolution	Management	None	4,000	0	0	0
O8.	Approve Minutes of Previously Held Meeting	Management	None	4,000	0	0	0

GRUPO RADIO CENTRO SAB DE CV

Security P4983X160

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Ticker Symbol	Meeting Type	Annual General Meeting	Meeting Date				
ISIN	MXP680051218	Vote Deadline Date	11-Mar-2013				
Agenda	704301972 - Management	Total Ballot Shares	212,994				
Last Vote Date							
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
CMMT	PLEASE NOTE THAT ONLY MEXICAN NATIONALS HAVE VOTING RIGHTS AT THIS MEETING. IF YOU ARE A MEXICAN NATIONAL AND WOULD LIKE TO SUBMIT YOUR VOTE ON THIS MEETING PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE. THANK YOU	Non-Voting	None	Non-Voting			
I.A	Presentation and, if deemed appropriate, approval of the annual reports regarding the activities of the audit committee and the corporate practices committee for the fiscal year that ended on December 31, 2012	Management	None	Non-Voting			
I.B	Presentation and, if deemed appropriate, approval of the report from the general director prepared in accordance with article 172 of the general mercantile companies	Management	None	Non-Voting			

law, accompanied by the opinion of the outside auditor for the same fiscal year

I.C	Presentation and, if deemed appropriate, approval of the opinion of the board of directors regarding the content of the report from the general director and its report regarding the transactions and activities in which it has intervened in accordance with that which is provided for in the securities market law, including the report that is referred to in article 172, line b, of the general mercantile companies law, in which are contained the main accounting and information policies and criteria followed in the preparation of the financial information, which in turn includes the individual and consolidated audited financial statements for Grupo Radio Centro, S.A.B. de C.V., to December 31, 2012, resolutions in this regard	Management	None	Non-Voting
II	Report regarding the fulfillment of the tax obligations that are the responsibility of Grupo Radio Centro, S.A.B. de C.V., in accordance with that which is required by article 86, part xx, of the income tax law	Management	None	Non-Voting

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III	Resolution regarding the allocation of results, their discussion and approval, if deemed appropriate	Management	None	Non-Voting
IV	Resignation, appointment and or ratification of the full and alternate members of the board of directors, its chairperson, secretary and vice secretary, after classification regarding the independence of the members for which this is appropriate. Resignation, appointment and or ratification of the members of the executive committee, audit committee and corporate practices committee, including chairpersons of the latter two. Establishment of compensation	Management	None	Non-Voting
V	Designation of delegates who will carry out and formalize the resolutions that are passed at the general meeting	Management	None	Non-Voting

CEMEX SAB DE CV, GARZA GARCIA

Security	P2253T133	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	21-Mar-2013
ISIN	MXP225611567	Vote Deadline Date	14-Mar-2013
Agenda			58,021

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704282362 - Total Ballot
Management Shares

Last
Vote
Date
11-Mar-2013

Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1	Resolution on the proposal by the board of directors to issue convertible notes pursuant to article 210 BIS of the Mexican General Law of Credit Instruments and Operations (Ley General De Titulos Y Operaciones De Credito), which would be placed through (a) a tender and exchange offer for the convertible notes currently outstanding issued by the company that were offered outside of Mexico on March 2010 (due 2015), March 2011 (due 2016) and March 2011 (due 2018) and/or, if applicable (b) their placement among public investors, using the proceeds to pay and cancel the corresponding currently outstanding convertible notes. The proposal includes the authorization to make use of all or part of the shares currently held in treasury underlying the conversion rights of the currently outstanding convertible notes, as these are CONTD	Management	For	58,021	0	0	0

CONT	CONTD substituted or redeemed, with the purpose of holding the shares in treasury and using them to ensure the conversion of the new convertible notes, under article 210 BIS of the Mexican general law of credit instruments and operations, without the need to increase the capital stock nor issue additional common shares	Non-Voting						
2	Appointment of the delegate or delegates to formalize the approved resolutions	Management	For	58,021	0	0	0	

CEMEX SAB DE CV, GARZA GARCIA

Security	P2253T133	Meeting Type	Ordinary General Meeting				
Ticker Symbol		Meeting Date	21-Mar-2013				
ISIN	MXP225611567	Vote Deadline Date	14-Mar-2013				
Agenda	704284380 - Management	Total Ballot Shares	58,021				
Last Vote Date	11-Mar-2013						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
I	Presentation and approval of reports and financial statements	Management	For	58,021	0	0	0
II	Resolution on allocation of profits	Management	For	58,021	0	0	0
III	Proposal to increase the capital stock of the company in its variable portion through: (A) capitalization of	Management	For	58,021	0	0	0

retained earnings; and
(B) issuance of
treasury shares in order
to preserve the rights
of current note holders
pursuant to the
company's issuance of
convertible notes prior

IV	Appointment of directors, members and president of the audit, corporate practices and finance committees	Management	For	58,021	0	0	0
V	Compensation of the members of the board of directors and of the audit, corporate practices and finance committee	Management	For	58,021	0	0	0
VI	Appointment of delegates to formalize the resolutions adopted at the meeting	Management	For	58,021	0	0	0

CEMEX, S.A.B. DE C.V.

Security	151290889	Meeting Type	Special				
Ticker Symbol	CX	Meeting Date	21-Mar-2013				
ISIN	US1512908898	Vote Deadline Date	18-Mar-2013				
Agenda	933736817 - Management	Total Ballot Shares	51,655				
Last Vote Date	11-Mar-2013						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
O1.	Approve Financial Statements, Allocation of Income, and Discharge Directors	Management	For	51,655	0	0	0
O2.	Resolution on Allocation of Profits	Management	For	51,655	0	0	0

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O3.	Increase Share Capital	Management	For	51,655	0	0	0
O4.	Appointment of Directors, Members, and President of the Audit, Corporate Practices and Finance Committees	Management	For	51,655	0	0	0
O5.	Compensation of the Members of the Board of Directors and of the Audit, Corporate Practices and Finance Committees	Management	For	51,655	0	0	0
O6.	Appointment of Delegates to Formalize the Resolutions Adopted at the Meeting	Management	For	51,655	0	0	0
E1.	Approve Sale of Convertible Notes/Bonds	Management	For	51,655	0	0	0
E2.	Appointment of the Delegate or Delegates to Formalize the Approved Resolutions	Management	For	51,655	0	0	0

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Security	P3642B213	Meeting Type	Annual General Meeting				
Ticker Symbol		Meeting Date	02-Apr-2013				
ISIN	MX01EL000003	Vote Deadline Date	26-Mar-2013				
Agenda	704333587 - Management	Total Ballot Shares	1,270				
Last Vote Date	25-Mar-2013						
Item	Proposal	Type	Recommendation	For	Against	Abstain	Take No Action
1	Presentation, Reading, Discussion, and Approval of Reports from the Board of	Management	For	1,270	0	0	0

Directors

2	Presentation, Reading, Discussion, and Approval of Financial Statements as well as Discussion and Resolution of Allocation of Results and Distribution of Profit	Management	For	1,270	0	0	0
3	Presentation, Reading, Discussion, and Approval of Report from the Audit Committee	Management	For	1,270	0	0	0
4	Presentation, Reading, Discussion, and Approval of Report from the Corporate Practices Committee	Management	For	1,270	0	0	0
5	Presentation, Reading, and Approval of report from the Board of Directors regarding the Policies for the Acquisition and Placement of Shares from the Repurchase Fund of the Company	Management	For	1,270	0	0	0
6	Presentation, Reading, and Approval of Resolutions Passed at Board Meeting on February 19, 2013	Management	For	1,270	0	0	0

7