Edgar Filing: CYS Investments, Inc. - Form 4

CYS Investm Form 4													
February 22, 2016 OMB APPROVAL													
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										3235-0287			
Check this box if no longer subject to STATEMENT OF CHAN				U	í					Expires:	January 31,		
							CIAI		NERSHIP OF	Estimated a	2005 average		
Section 16. Form 4 or				SEC	UK	ITIES				burden hou response	•		
Form 5	Filed pure	suant to	Section 1	6(a) of	f the	e Securiti	es Ex	chang	e Act of 1934,	100001100	0.0		
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940													
(Print or Type Responses)													
1. Name and Address of Reporting Person *2. IssuerCleary Richard ESymbol				Name and Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer				
			•	vestme	ents	, Inc. [CY	YS]		(Check all applicable)				
(Last) (First) (Middle) 3. Date of				of Earliest Transaction									
(Month/D: 890 WINTER STREET, SUITE 200 02/18/20				-					Director 10% Owner X Officer (give title Other (specify				
02/10/20									below) below) COO and Assistant Secretary				
(Street) 4. If Amer					, Da	te Original			6. Individual or Joint/Group Filing(Check				
Filed(Mon				nth/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person				
WALTHAM	1						Form filed by More than One Reporting						
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative S	ecuri	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of	2. Transaction Date			3.4. Securities AcquiredifTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)					5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Year)	any	on Date, if						Securities Beneficially	Form: Direct (D) or	Beneficial		
(Month/Day/Year) (Ir					8)				Owned Following	Indirect (I) Ownersh (Instr. 4) (Instr. 4)			
				(A)					Reported Transaction(s)	~ /	× ,		
				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)				
Common				coue	·	7 milount	(12)	Thee					
Stock, \$.01 par value	02/18/2016			А		22,237 (1)	А	\$ 7.42	193,554	D			
per share						<u>(1)</u>		1.42					
Common													
Stock, \$.01	02/18/2016			F		2,112 (2)	D	\$	191,442	D			
par value per share						(2)		7.42	,				
Common													
Stock, \$.01	02/18/2016			F		2,125 (3)	D	\$ 7.42	189,317	D			
par value per share	02/10/2010			1,		(3)	D	7.42	107,517	D			

Edgar Filing: CYS Investments, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1	. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Ι	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
S	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						Ì
						4, and 5)						
										Amount		
							Date	Expiration		or		
								Date		Number		
						Excretisuble			of			
					Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Addro	Relationships							
	Director	10% Owner	Officer	Other				
Cleary Richard E 890 WINTER STREET SUITE 200 WALTHAM, MA 02451			COO and Assistant Secretary					
Signatures								
/s/ Richard E. Cleary	02/22/2016							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares acquired by the Reporting Person reflect compensation from the Issuer's 2015 Incentive Compensation Plan and were issued
 (1) under the Issuer's 2015 Equity Incentive Plan. The shares have been issued pursuant to the terms and conditions of a Stock Award Agreement and are subject to a 5-year pro-rata vesting schedule.

The Reporting Person disposed of these shares to the Issuer to satisfy the Reporting Person's tax withholding obligations in connection(2) with the shares of restricted stock that vested on February 18, 2016, pursuant to the terms and conditions of a Stock Award Agreement by and between the Issuer and the Reporting Person, dated February 18, 2015.

(3)

The Reporting Person disposed of these shares to the Issuer to satisfy the Reporting Person's tax withholding obligations in connection with the shares of restricted stock that vested on February 18, 2016, pursuant to the terms and conditions of a Stock Award Agreement by and between the Issuer and the Reporting Person, dated February 18, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.