#### Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 3

#### ETHAN ALLEN INTERIORS INC

Form 3

August 11, 2006

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ETHAN ALLEN INTERIORS INC [ETH] **Â** Paccione Tracy (Month/Day/Year) 08/11/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ETHAN ALLEN, ETHAN (Check all applicable) **ALLEN DRIVE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP Merchandising Person DANBURY, CTÂ 06811 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Ethan Allen Interiors Inc. common stock 0 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security Security	Security: Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option to purchase Ethan Allen Common Stock	10/01/2000	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2001	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2002	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2003	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	03/31/2004	03/31/2019	Ethan Allen Common Stock	40	\$ 25	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2004	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2005	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2006	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2007	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2006	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2007	05/27/2015	Ethan Allen Common	375	\$ 31.15	D	Â

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			Stock				
Option to purchase Ethan Allen Common Stock	05/27/2008	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2009	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2006	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2007	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2008	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2009	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Paccione Tracy						
ETHAN ALLEN	â	â	VP Merchandising	Â		
ETHAN ALLEN DRIVE	Α	А	A VF Wielchandishig	A		
DANBURY, CT 06811						

# **Signatures**

Tracy Paccione 08/11/2006

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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