Edgar Filing: Driggs Dustin - Form 4/A

| Form 4/A November (| | | | | | | | | | | | |
|--|---|--|-----|--|-------|-----------|--|--|--|---|-----------|--|
| FORM | ЛЛ | | | | | | | | | OMB AF | PROVAL | |
| | UNITEI |) STATES | | | | | | ANGE CO | OMMISSION | OMB Number: | 3235-0287 | |
| Check the if no lor subject Section Form 4 Form 5 obligation | to 16. or Filed pu | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | Expires:January 31, 2005Estimated average burden hours per response0.5 | | |
| may cor <i>See</i> Inst 1(b). (Print or Type | ruction | | | • | | • | - | ct of 1940 | | | | |
| (Fint of Type | Kesponses) | | | | | | | | | | | |
| Driggs Dustin Symbol | | | | I I RACUDA NETWORKS INC | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Montl | | | | ILII/IJav/ICal) | | | | | Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer | | | |
| | | | | onth/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| CAMPBEI | LL, CA 95008 | | | | | | | i | Person | ore than One Re | porung | |
| (City) | (State) | (Zip) | Tab | le I - No | on-De | erivative | e Secu | rities Acqu | ired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | (Month/Day/Year) Execution Date, if Transaction | | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common Stock | 10/02/2017 | | | М | | 1,250 | A | \$ 7.8 | 104,343 | D | | |
| Common Stock | 10/02/2017 | | | S <u>(1)</u> | | 1,250 | D | \$ 24.3362 | 103,093 | D | | |
| Common Stock | 10/02/2017 | | | М | | 3,885 | А | \$ 11.55 | 106,978 | D | | |
| Common Stock | 10/04/2017 | | | S <u>(1)</u> | , | 3,885 | D | \$ 25 | 103,093 | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | 5. Number mof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Da | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--------|--|---------------------|--|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (right to buy) | \$ 7.8 | 10/02/2017 | | М | 1,250 | 03/24/2009 | 03/24/2018 | Common Stock | 1,250 | |
| Employee Stock Option (right to buy) | \$ 11.55 | 10/02/2017 | | М | 3,885 | 11/01/2014 | 11/01/2020 | Common Stock | 3,885 | |

Reporting Owners

| Reporting Owner Name / Address | | | | | | |
|--|----------|-----------|-------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Driggs Dustin C/O BARRACUDA NETWORKS, INC. 3175 S. WINCHESTER BLVD CAMPBELL, CA 95008 | | | Chief Financial Officer | | | |
| Signatures | | | | | | |
| /s/ Diane Honda Attorney-in-Fact for Dus Driggs | 11/03/ | | | | | |
| **Signature of Reporting Person | | Dat | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on Feb 9, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.