

GOETZ JOHN  
Form 4  
August 11, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GOETZ JOHN

2. Issuer Name and Ticker or Trading Symbol  
BIO RAD LABORATORIES INC  
[BIO, BIOB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/10/2017

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Chief Operating Officer

C/O BIO-RAD LABORATORIES, INC., 1000 ALFRED NOBEL DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HERCULES, CA 94547

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code  | V   | Amount   |                                   |
| Bio-Rad A Common Stock          | 08/10/2017                           |  | M                              | A   | 2,600   | \$ 107.32  | 63,811.3235 (1) D                 |
| Bio-Rad A Common Stock          | 08/10/2017                           |  | F                              | D   | 1,978   | \$ 215   | 61,833.3235 D                     |
| Bio-Rad A Common Stock          | 08/10/2017                           |  | M                              | A   | 1,920   | \$ 117   | 63,753.3235 D                     |

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|                              |            |   |       |   |              |             |   |
|------------------------------|------------|---|-------|---|--------------|-------------|---|
| Bio-Rad A<br>Common<br>Stock | 08/10/2017 | F | 1,502 | D | \$ 215       | 62,251.3235 | D |
| Bio-Rad A<br>Common<br>Stock | 08/10/2017 | M | 2,400 | A | \$<br>119.54 | 64,651.3235 | D |
| Bio-Rad A<br>Common<br>Stock | 08/10/2017 | F | 1,891 | D | \$ 215       | 62,760.3235 | D |
| Bio-Rad A<br>Common<br>Stock | 08/10/2017 | M | 1,600 | A | \$<br>139.56 | 64,360.3235 | D |
| Bio-Rad A<br>Common<br>Stock | 08/10/2017 | F | 1,332 | D | \$ 215       | 63,028.3235 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                      | Amount or Number of Shares |
| Non-Qualified Stock Option (right to buy)  | \$ 107.32  | 08/10/2017                           |  | M                              | 2,600   | (2) 09/12/2022   | Bio-Rad A Common Stock  | 2,600                      |                            |
| Non-Qualified Stock Option (right to buy)  | \$ 117   | 08/10/2017                           |  | M                              | 1,920   | (3) 09/11/2023   | Bio-Rad A Common Stock  | 1,920                      |                            |
| Non-Qualified Stock Option                 | \$ 119.54  | 08/10/2017                           |  | M                              | 2,400   | (4) 09/10/2024   | Bio-Rad A   | 2,400                      |                            |

(right to buy)

Non-Qualified  
Stock Option  
(right to buy)

\$ 139.56

08/10/2017

M

1,600

(5)

09/11/2025

Common  
Stock  
  
Bio-Rad  
A  
Common  
Stock

1,600

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                              |       |
|---|---------------|-----------|------------------------------|-------|
|   | Director      | 10% Owner | Officer                      | Other |
| GOETZ JOHN<br>C/O BIO-RAD LABORATORIES, INC.<br>1000 ALFRED NOBEL DRIVE<br>HERCULES, CA 94547 |               |           | EVP, Chief Operating Officer |       |

## Signatures

John Goetz

08/11/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 37.1639 shares acquired under the Bio-Rad Employee Stock Purchase Plan on 06/30/2017.
- (2) The option vested in five equal annual installments beginning on September 12, 2013.
- (3) The option vested in five equal annual installments beginning on September 11, 2014.
- (4) The option vested in five equal annual installments beginning on September 10, 2015.
- (5) The option vested in five equal annual installments beginning on September 11, 2016.

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