### Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

#### PERMA FIX ENVIRONMENTAL SERVICES INC

Form 4 July 01, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

common

stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(State)

07/01/2013

**ZWECKER MARK A** 

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

PERMA FIX ENVIRONMENTAL

SERVICES INC [pesi]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify below)

8302 DUNWOODY PLACE, SUITE 07/01/2013

(Middle)

(Zip)

250

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

A

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ATLANTA, GA 30350

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount Price (D)

36,111

481,735

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price Deriva Securit (Instr.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/	Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 1.99					<u>(1)</u>	07/29/2013	Common Stock	12,000	
Stock Option	\$ 1.7					(2)	07/28/2014	Common Stock	12,000	
Stock Option	\$ 1.84					(3)	07/27/2015	Common Stock	12,000	
Stock Option	\$ 2.15					<u>(4)</u>	07/26/2016	Common Stock	12,000	
Stock Option	\$ 2.95					(5)	08/02/2017	Common Stock	12,000	
Stock Option	\$ 2.34					<u>(6)</u>	08/05/2018	Common Stock	12,000	
Stock Option	\$ 2.67					<u>(7)</u>	07/29/2019	Common Stock	12,000	
Stock Option	\$ 1.68					(8)	09/29/2020	Common Stock	12,000	
Stock Option	\$ 1.41					<u>(9)</u>	08/24/2021	Common Stock	12,000	
Stock Option	\$ 1.1					(10)	09/13/2022	Common Stock	12,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ZWECKER MARK A 8302 DUNWOODY PLACE SUITE 250 ATLANTA, GA 30350	X					

Reporting Owners 2

## **Signatures**

/s/Mark Zwecker 07/01/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 07/29/03 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (2) Stock Option granted 07/28/04 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (3) Stock Option granted 07/27/05 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (4) Stock Option granted 07/27/06 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (5) Stock Option granted 08/02/07 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (6) Stock Option granted 08/05/08 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (7) Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (8) Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (9) Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (10) Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3