Edgar Filing: EARHART ALAN L - Form 4

EARHART Form 4	ALAN L										
October 16, FORM Check th if no lon subject t Section Form 4 o Form 5 obligation may com	Dectober 16, 2009 FORM 4 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							N OMB Number: Expires: Estimated burden hou response	Number:3235-0287Number:January 31, 2005Expires:January 31, 2005Estimated average burden hours per response0.5		
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> EARHART ALAN L			2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP]			5. Relationship of Reporting Person(s) to Issuer					
(Last) (First) (Middle) 1370 PRITCHETT COURT			3. Date of Earliest Transaction (Month/Day/Year) 10/14/2009			(Check all applicable) <u>X</u> Director Officer (give title below) <u>10%</u> Owner <u>0</u> Other (specify below)					
(Street) LOS ALTOS, CA 94024			Filed(Month/Day/Year) Applie _X_F			Applicable Line) _X_ Form filed by	ndividual or Joint/Group Filing(Check licable Line) Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)			~ • •	a	Person	a D (11)			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	l f Date, if f /Year)	3. Transactio Code (Instr. 8) Code V	4. Secur nAcquired Disposed (Instr. 3,	ities d (A) or d of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Re	port on a separate line	for each clas	ss of secur	rities bene	Pers infor requ	ons who res mation cont ired to resp ays a curre	or indirectly. spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amour
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securit
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

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	Derivative Security			or Dispose (D) (Instr. 3, 4 and 5)				
			Code	V (A)	(D) Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 29.5	10/14/2009	А	10,000	<u>(1)</u>	10/13/2016	Common Stock	10,0
Non-Qualified Stock Option (right to buy)	\$ 29.5	10/14/2009	А	5,000	<u>(1)</u>	10/13/2016	Common Stock	5,0
Restricted Stock Unit	\$ 0	10/14/2009	А	3,333	(2)	(2)	Common Stock	3,3

Reporting Owners

Reporting Owner Name / Address		Relationsh			
	Director	10% Owner	Officer	Other	
EARHART ALAN L 1370 PRITCHETT COURT LOS ALTOS, CA 94024	Х				
Signatures					
By: Janice Mahoney by Power Earhart	10/16/2009				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option is immediately exercisable, but any shares purchased under the option will be subject to repurchase by the Company at the option exercise price paid per share, upon the reporting person's cessation of Board service prior to vesting in those shares. The shares

Date

- (1) obtain exercise price part per share, upon the reporting person's cessation of Board service prior to vesting in mose shares. The shares will vest upon the reporting person's continuation in Board service through the day immediately preceding the next Annual Stockholders Meeting following the grant date.
- (2) The shares will vest and be delivered upon the reporting person's continuation in Board service through the day immediately preceding the next Annual Stockholders Meeting following the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.