

BOK FINANCIAL CORP ET AL
Form 5
February 14, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
Maun Marc

(Last) (First) (Middle)

C/O FREDERIC DORWART,
LAWYERS, 124 EAST 4TH
STREET

(Street)

2. Issuer Name **and** Ticker or Trading
Symbol
BOK FINANCIAL CORP ET AL
[BOKF]

3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2016

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
EVP, Chief Credit Officer

4. If Amendment, Date Original
Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

TULSA, OK 74103

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2016	Â	J ⁽¹⁾	3,198 D \$ 0	12,837 ⁽²⁾	D	Â
Common Stock	12/31/2016	Â	J ⁽³⁾	517 D \$ 0 0		I	Theresa Beth Maun Revocable Trust (1997)
Common Stock	12/31/2016	Â	J ⁽¹⁾⁽³⁾	3,715 A \$ 0	13,026	I	Marc Christopher

Maun
Revocable
Trust (1997)

Common Stock	12/31/2016	Â	J ⁽⁴⁾	116.4848	A	\$ 0	12,953.4848 (5)	D	Â
-----------------	------------	---	------------------	----------	---	------	--------------------	---	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Amount or Number of Shares
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Maun Marc
C/O FREDERIC DORWART, LAWYERS
124 EAST 4TH STREET
TULSA, Â OKÂ 74103

Â Â Â EVP, Chief Credit Officer Â

Signatures

/s/ Frederic Dorwart, Power of
Attorney

02/14/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Maun transferred 3,198 shares from shares directly held to Marc Christopher Maun Revocable Trust.

(2) 1,518 shares were previously reported as indirect and held in the name of Marc Maun and Theresa Maun.

Edgar Filing: BOK FINANCIAL CORP ET AL - Form 5

- (3) Mr. Maun transferred 517 shares from shares indirectly held in Theresa Beth Maun Revocable Trust to Marc Christopher Maun Revocable Trust.
- (4) These shares are related to the BOK Thrift Plan for which employees own investment units which hold BOKF common stock.
- (5) 116.4848 shares are related to Mr. Maun's BOK Thrift Plan holdings only. Mr. Maun also owns 12,837 shares directly as of 12/31/2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.