

REGENERON PHARMACEUTICALS INC

Form 4

October 27, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GOLDBERG MURRAY A

(Last) (First) (Middle)

**777 OLD SAW MILL RIVER
ROAD**

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**REGENERON
PHARMACEUTICALS INC
[REGN]**

3. Date of Earliest Transaction
(Month/Day/Year)
10/23/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
SVP Administration & Asst Sec

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/23/2014		M ⁽¹⁾		60,000	A	\$ 30.63 123,102
Common Stock	10/23/2014		F ⁽¹⁾		4,689	D	\$ 391.93 118,413
Common Stock	10/23/2014		F ⁽¹⁾		28,523	D	\$ 391.93 89,890
Common Stock	10/24/2014		S ⁽¹⁾		200	D	\$ 395.96 89,690
	10/24/2014		S ⁽¹⁾		775	D	88,915

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Common Stock					\$ 396.8 (2)			
Common Stock	10/24/2014	S(1)	1,700	D	\$ 397.65 (3)	87,215	D	
Common Stock	10/24/2014	S(1)	2,100	D	\$ 398.58 (4)	85,115	D	
Common Stock	10/24/2014	S(1)	3,200	D	\$ 399.37 (5)	81,915	D	
Common Stock	10/24/2014	S(1)	7,531	D	\$ 400.51 (6)	74,384	D	
Common Stock	10/24/2014	S(1)	5,293	D	\$ 401.51 (7)	69,091	D	
Common Stock	10/24/2014	S(1)	2,500	D	\$ 402.54 (8)	66,591	D	
Common Stock	10/24/2014	S(1)	2,454	D	\$ 403.5 (9)	64,137	D	
Common Stock	10/24/2014	S(1)	1,035	D	\$ 404.18 (10)	63,102	D	
Common Stock						5,650	I	By 401(k) Plan
Common Stock						750	I	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 30.63	10/23/2014	M	(1)		60,000	(11)	12/14/2020	Common Stock	60,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GOLDBERG MURRAY A 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591			SVP Administration & Asst Sec	

Signatures

/s/**Murray A.
Goldberg

10/27/2014

Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).

Represents volume-weighted average price of sales of 775 shares of Company stock on October 24, 2014 at prices ranging from \$396.28 to \$396.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(3) Represents volume-weighted average price of sales of 1,700 shares of Company stock on October 24, 2014 at prices ranging from \$397.14 to \$397.93. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(4) Represents volume-weighted average price of sales of 2,100 shares of Company stock on October 24, 2014 at prices ranging from \$398.03 to \$398.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(5) Represents volume-weighted average price of sales of 3,200 shares of Company stock on October 24, 2014 at prices ranging from \$399.02 to \$399.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(6) Represents volume-weighted average price of sales of 7,531 shares of Company stock on October 24, 2014 at prices ranging from \$400.10 to \$400.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(7) Represents volume-weighted average price of sales of 5,293 shares of Company stock on October 24, 2014 at prices ranging from \$401.00 to \$401.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(8) Represents volume-weighted average price of sales of 2,500 shares of Company stock on October 24, 2014 at prices ranging from \$402.00 to \$402.89. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

(9) Represents volume-weighted average price of sales of 2,454 shares of Company stock on October 24, 2014 at prices ranging from \$403.00 to \$403.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

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will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

Represents volume-weighted average price of sales of 1,035 shares of Company stock on October 24, 2014 at prices ranging from

- (10) \$404.00 to \$404.41. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on October 24, 2014 at each separate price.

- (11) The option became exercisable with respect to all shares underlying the option on December 31, 2013, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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