#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4

August 08, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

10% Owner

2005

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* STAHL NEIL

(First)

2. Issuer Name and Ticker or Trading

Issuer

Symbol REGENERON

[REGN]

PHARMACEUTICALS INC

3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

Director

08/06/2014

ROAD

(Last)

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

SVP Research and Development S

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### TARRYTOWN, NY 10591

777 OLD SAW MILL RIVER

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit oror Dispos (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
C			Code V	Amount	(D)	Price	(msu. 3 and 1)		
Common Stock	08/06/2014		M	35,000	A	\$ 21.25	48,527	D	
Common Stock	08/06/2014		F	2,212	D	\$ 336.11	46,315	D	
Common Stock	08/06/2014		F	18,301	D	\$ 336.11	28,014	D	
Common Stock	08/07/2014		S	624	D	\$ 340.39 (1)	27,390	D	

#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

Common Stock	08/07/2014	S	117	D	\$ 341.03	27,273	D	
Common Stock	08/08/2014	S	1,900	D	\$ 340.6 (2)	25,373	D	
Common Stock	08/08/2014	S	200	D	\$ 341	25,173	D	
Common Stock						20,000	I	by GRAT
Common Stock						10,864	I	by GRAT
Common Stock						5,568	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (I		Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 21.25	08/06/2014		M	35,	,000	(3)	12/18/2019	Common Stock	35,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
. 6	Director	10% Owner	Officer	Other				
STAHL NEIL			SVP Research					
777 OLD SAW MILL RIVER ROAD			and Development					
TARRYTOWN, NY 10591			S					

2 Reporting Owners

#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

## **Signatures**

/s/\*\*Neil Stahl 08/08/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents volume-weighted average price of sales of 624 shares of Company stock on August 7, 2014 at prices ranging from \$340.00 to (1) \$340.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 7, 2014 at each separate price.
- Represents volume-weighted average price of sales of 1,900 shares of Company stock on August 8, 2014 at prices ranging from \$340.00 (2) to \$340.995. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 8, 2014 at each separate price.
- (3) The option became exercisable with respect to all shares underlying the option on December 31, 2012, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3