IMAX CORP
Form 4
February 03, 2016

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
**Washington, D.C. 20549**

OMB APPROVAL

| | |
|---|---|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LISTER ROBERT D

(Last) (First) (Middle)

110 EAST 59TH STREET, SUITE 2100

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
IMAX CORP [IMAX]

3. Date of Earliest Transaction (Month/Day/Year)
02/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer (give title below) _____ Other (specify below)
Chief Legal & Chief Bus Dev

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| common shares | 02/01/2016 | | C | | 5,750 (1) | A | $ 13.38 | 18,801 | D | |
| common shares | 02/01/2016 | | C | | 6,000 (1) | A | $ 20.25 | 24,801 | D | |
| common shares | 02/01/2016 | | S | | 11,750 (1) | D | $ 30.795 | 13,051 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
**(*e.g.*, puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. De Se (In |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| options (to buy) | $ 13.38 | 02/01/2016 | | C | | | 5,750 (1) | 12/31/2014 | 12/31/2016 | common shares | 5,750 | $ |
| options (to buy) | $ 20.25 | 02/01/2016 | | C | | | 6,000 (1) | 01/09/2014 | 01/09/2019 | common shares | 6,000 | $ |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| LISTER ROBERT D<br>110 EAST 59TH STREET<br>SUITE 2100<br>NEW YORK, NY 10022 | | | Chief Legal & Chief Bus Dev | |

# Signatures

| | |
|---|---|
| Robert D Lister | 02/03/2016 |
| **Signature of Reporting Person | Date |

# Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** Stock options were exercised and sold as common shares pursuant to Rule 10b5-1 Sales Plan adopted on March 16, 2015. Mr. Lister's 10b5-1 Sales Plan is scheduled to terminate on April 29, 2016.

**(2)** This represents the remaining balance of the options granted on the issue date, December 31, 2009, following this transaction. Mr. Lister's aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 194,717; 63,577 and 13,051 respectively.

**(3)** This represents the remaining balance of the options granted on the issue date, January 9, 2012, following this transaction. Mr. Lister's aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 194,717; 63,577 and 13,051 respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.