#### Edgar Filing: TEVENS TIMOTHY T - Form 4

#### TEVENS TIMOTHY T

Form 4 May 20, 2011

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

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5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

TEVENS TIMOTHY T			2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO]					Issuer (Check all applicable)			
	(Last) (First) (Middle)  140 JOHN JAMES AUDUBON PARKWAY			3. Date of Earliest Transaction (Month/Day/Year) 05/19/2011				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) President & CEO			
AMHERST	(Street)			endment, Dat nth/Day/Year)	_	l		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by N Person	One Reporting Pe	erson	
(City)	(State)	(Zip)	Tabl	e I - Non-Do	erivative S	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	) Execution any	med on Date, if Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	spose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock								144,595 (1)	D		
Common Stock	05/19/2011			F	559 <u>(2)</u>	D	\$ 19.77	144,036 (1)	D		
Common Stock								5,753.2099 (3)	D		
Common Stock								7,000	I	by Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	6,705	
Non-Qualified Stock Options (Right to Buy)	\$ 10					08/20/2005	08/19/2011	Common Stock	13,295	
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	95,000	
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,770	
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45,172	
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33,190	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TEVENS TIMOTHY T	X		President & CEO			
140 IOHN IAMES AUDURON PARKWAY						

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AMHERST, NY 14228

## **Signatures**

Timothy T. 05/20/2011

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 40,878 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 12,055 shares become fully vested and non-forfeitable 50%

- for two years beginning 5/18/2012; 3,086 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 5,133 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2012, and the remaining 20,604 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1,543 restricted stock units on 5/19/2011.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 19,310 incentive stock option grant, reporting person exercised 9893 options on 4/1/2011, 1408 options on 4/4/2011 and 1304 options on 4/5/2011. The remaining 6,705 options are fully exercisable, subject to IRS limitations.
- Originally a 40,690 non-qualified stock option grant, reporting person exercised 21,695 options on 4/1/2011, 2960 options on 4/4/2011 and 2740 options on 4/5/2011. The remaining 13,295 options are fully exercisable, subject to IRS limitations.
- Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years beginning 5/19/2009, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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