

Tang Francis  
Form 4  
February 19, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Tang Francis

(Last) (First) (Middle)

4949 HEDGCOXE ROAD, SUITE 200

(Street)

PLANO, TX 75024

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
DIODES INC /DEL/ [DIOD]

3. Date of Earliest Transaction (Month/Day/Year)  
02/14/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
VP, Worldwide Discrete Products

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                  |                                      |                                                    | Code                           | V                                                                 | Amount or Price                                                                               |                                                          |                                                       |
| Diodes Incorporated Common Stock | 02/14/2019                           |                                                    | M <sup>(1)</sup>               |                                                                   | 17,000 A \$ 19.28                                                                             | 99,037                                                   | D                                                     |
| Diodes Incorporated Common Stock | 02/14/2019                           |                                                    | S <sup>(2)</sup>               |                                                                   | 4,355 D \$ 39.51                                                                              | 94,682                                                   | D                                                     |
| Diodes Incorporated Common Stock | 02/14/2019                           |                                                    | S <sup>(2)</sup>               |                                                                   | 4,347 D \$ 40                                                                                 | 90,335                                                   | D                                                     |

Edgar Filing: Tang Francis - Form 4

|                                                            |            |                  |       |   |          |                      |   |        |
|------------------------------------------------------------|------------|------------------|-------|---|----------|----------------------|---|--------|
| Diodes Incorporated Common Stock                           | 02/14/2019 | S <sup>(2)</sup> | 6,065 | D | \$ 41    | 84,270               | D |        |
| Diodes Incorporated Common Stock                           | 02/15/2019 | S <sup>(3)</sup> | 4,500 | D | \$ 40.91 | 79,770               | D |        |
| Diodes Incorporated Common Stock                           |            |                  |       |   |          | 2,580 <sup>(4)</sup> | I | By Son |
| Diodes Incorporated Common Stock - Performance Stock Units |            |                  |       |   |          | 36,214               | D |        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title                                                       |
| 05/24/2010<br>DIOD<br>NQSO                 | \$ 19.28                                               | 02/14/2019                           |                                                    | M <sup>(1)</sup>               | 17,000                                                                                  | 05/24/2011 <sup>(5)</sup> 05/24/2020                     | Diodes Incorporated Common Stock - Diodes                   |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: Tang Francis - Form 4

Director 10% Owner Officer

Other

Tang Francis  
4949 HEDGCOXE ROAD  
SUITE 200  
PLANO, TX 75024

VP,Worldwide Discrete Products

**Signatures**

Richard D. White as Power of Attorney for Francis  
Tang

02/19/2019

\_\_Signature of Reporting Person

Date

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Exercised under a 10b5-1 plan.
- (2) Sold under a 10b5-1 plan.
- (3) A portion of the vested restricted stock unit shares was automatically sold to cover income tax.

These shares, which consist of restricted stock units, were granted to the reporting persons son, who shares the reporting persons household and is an employee of Diodes Incorporated. The reporting person disclaims beneficial ownership of the shares held by his son, and this report should not be deemed an admission that the reporting person is the beneficial owner of his sons shares for purposes of Section 16 or for any other purpose.

- (4) Non-qualified stock options exercisable in four equal annual installments beginning 05/24/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.