## Edgar Filing: LUCIER GREGORY T - Form 4

LUCIER GR Form 4	REGORY T										
February 04,	, 2019										
									OMB APPROVAL		
	UNITED	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								3235-0287	
Check th if no long subject to Section 1 Form 4 o	ser <b>STATEN</b> 6.									Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040									
(Print or Type I	Responses)										
LUCIER GREGORY T Symbol			Symbol	r Name <b>and</b> Ticker or Trading SIVE INC [NUVA]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Middle)	3. Date of Earliest Transaction (Check					k all applicable)			
(Mon			(Month/D 02/01/20	ay/Year)	unsuetion			X_ Director 10% Owner Officer (give title Other (specify below) below)			
				ndment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
SAN DIEG	O, CA 92121							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative (	Securi	ities Acqu	iired, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/Y			3.	4. Securit on(A) or Dis (Instr. 3, 4	ies Ac sposed	quired l of (D)	Beneficially Owned Following	(D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	02/01/2019			М	13,997	A	\$0	155,957 <u>(1)</u>	Ι	Family Trust	
Common Stock	02/01/2019			F	4,995 (2)	D	\$ 49.13	150,962 <u>(1)</u>	Ι	Family Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Num	ber of	6. Date Exerc	cisable and	7. Title and A	Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	<b>TransactiorDerivative</b>		Expiration Date		Underlying Securities		Deriv
Security	or Exercise		any	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)		Secu	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquire	ed (A)					(Insti
	Derivative				or Dispo	osed of					
	Security				(D)						
					(Instr. 3, 4,						
					and 5)						
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
PRSUs	\$ 0	02/01/2019		М	1.	3,997	(4)	(4)	Common Stock	13,997	\$

## **Reporting Owners**

Reporting Owner Name / Address				
1 8	Director	10% Owner	Officer	Other
LUCIER GREGORY T 7475 LUSK BLVD SAN DIEGO, CA 92121	Х			
Signatures				

/s/ Nathaniel B. Sisitsky, Attorney-in-Fact for Gregory T. Lucier 02/04/2019

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares indirectly held by the Reporting Person. The Reporting Person also holds conditional rights to directly receive shares pursuant to
   (1) previously disclosed Company equity awards. Amount includes 444 shares acquired under the Issuer's employee stock purchase plan in April 2018.
- (2) Shares withheld by the Issuer to satisfy tax withholding obligations.
- (3) Each Performance Restricted Stock Unit ("PRSU") represents the right to receive one share of the Issuer's common stock upon vesting.

This PRSU award was granted to the Reporting Person on May 22, 2015. In accordance with the terms of the PRSU award, the award
(4) vested as to 13,998 shares on each of February 1, 2016, February 1, 2017 and February 1, 2018 and vested as to 13,997 shares on February 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.