JAFFE JONATHAN M Form 4

Check this box

April 04, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

0.5

January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average

burden hours per response...

5. Relationship of Reporting Person(s) to

(Cl- - -1- -11 - - -1: - -1-1-)

Issuer

2,601

1,980

Ι

D

if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

Symbol

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

LENNAR CORP / NEW/ [LEN,

1(b).

Class A

Stock Class B

Common

(Print or Type Responses)

JAFFE JONATHAN M

1. Name and Address of Reporting Person \*

			LEN.B]					(Check all applicable)			
(Last) 700 NW 10 400	3. Date of Earliest Transaction (Month/Day/Year) 04/02/2018					Director 10% Owner Softicer (give title Other (specify below) below)  Vice President/COO					
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)			
MIAMI, FL	T ned(Mondi/Day/Teal)					Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution		3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock								220,514	D		
Class A Common Stock	04/02/2018			S	20,000 (1)	D	\$ 58.61 (2)	309,922	I	By Trust	

By ESOP

Trust

### Edgar Filing: JAFFE JONATHAN M - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

of 9. Nu

Deriv Secur Bene

Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	of Deri Secu Acqu (A)	vative urities uired or posed O) tr. 3,		ate	Amor Unde Secur	tle and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JAFFE JONATHAN M 700 NW 107TH AVENUE SUITE 400 MIAMI, FL 33172

Vice President/COO

**Signatures** 

/s/ Mark Sustana as Attorney-in-Fact for Jonathan M.

Jaffe
04/04/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: JAFFE JONATHAN M - Form 4

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale occurred pursuant to a Rule 10b5-1 plan adopted by the reporting person.
  - The price reflects the weighted average price of the shares sold. The prices of the shares sold pursuant to the transactions ranged from
- (2) \$58.19 to \$58.71 per share. The reporting person, upon request, will provide the Securities and Exchange Commission staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
- (3) These shares are held in a family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.