

ASTRONICS CORP

Form 3

January 04, 2017

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Mulato James

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/01/2017

3. Issuer Name **and** Ticker or Trading Symbol
ASTRONICS CORP [ATRO]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☐ Officer ☒ Other

(give title below) (specify below)

Pres Astronics Test Systems

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

130 COMMERCE WAY

(Street)

EAST AURORA,Â NYÂ 14052

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

\$.01 PV Common Stock

1,582

D

Â

\$.01 PV Class B Stock

441

D

Â

\$.01 PV Common Stock

100

I

spouse ⁽¹⁾

\$.01 PV Class B Stock

15

I

spouse ⁽¹⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date3. Title and Amount of
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect
Beneficial Ownership

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	(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Option	03/31/2015	03/31/2024	\$.01 PV Com Stk	2,400	\$ 39.96	D	Â
Option	03/31/2015	03/31/2024	\$.01 PV Cl B Stk	1,409	\$ 39.96	D	Â
Option	12/11/2015	12/11/2024	\$.01 PV Com Stk	3,300	\$ 35.46	D	Â
Option	12/11/2015	12/11/2024	\$.01 PV Cl B Stk	1,064	\$ 35.46	D	Â
Option	12/03/2016	12/03/2025	\$.01 PV Com Stk	4,300	\$ 31.88	D	Â
Option	12/03/2016	12/03/2025	\$.01 PV Cl B Stk	645	\$ 31.88	D	Â
Option	12/14/2017	12/14/2026	\$.01 PV Com Stk	6,560	\$ 36.52	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mulato James 130 COMMERCE WAY EAST AURORA, NY 14052	Â	Â	Â	Pres Astronics Test Systems

Signatures

/s/Julie Davis, as Power of Attorney for James Mulato 01/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares owned by his wife.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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