

INVACARE CORP
Form 3
November 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Ledda Ralf | | (Month/Day/Year) | INVACARE CORP [IVC] | |
| (Last) | (First) | 11/17/2016 | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| ONE INVACARE WAY | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| ELYRIA,Â OHÂ 44035 | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| (City) | (State) | (Zip) | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Sen VP/GM-EUR,Mid East&Africa | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Shares | 25,200 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

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| | | | | Shares | | (I) (Instr. 5) | |
|---|-------|------------|------------------|--------|----------|-------------------|---|
| Employee Stock Option (Right to Buy) | Â (2) | 08/22/2017 | Common Shares | 1,500 | \$ 23.71 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 08/20/2018 | Common Shares | 2,100 | \$ 25.79 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 08/19/2019 | Common Shares | 2,100 | \$ 20.48 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 08/18/2020 | Common Shares | 2,300 | \$ 25.24 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 09/02/2021 | Common Shares | 2,100 | \$ 24.45 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 08/14/2022 | Common Shares | 2,200 | \$ 13.37 | D | Â |
| Employee Stock Option (Right to Buy) | Â (3) | 03/18/2023 | Common Shares | 2,200 | \$ 14.49 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Ledda Ralf ONE INVACARE WAY ELYRIA, OH 44035 | Â | Â | Â Sen VP/GM-EUR, Mid East & Africa | Â |

Signatures

/s/ Kristofer K. Spreen as
attorney-in-fact for Ralf Ledda
under Power of Attorney

11/21/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Restricted stock units granted pursuant to the Invacare Corporation 2013 Equity Compensation Plan in an exempt transaction under Rule 16b-3. 1,000 of these restricted stock units vest in full on May 15, 2017 after a three-year "cliff" vesting period; 400 of these restricted
- (1) stock units vested on May 15, 2016, 800 of these restricted stock units vest in 1/2 increments on May 15, 2017 and May 15, 2018; 3,000 of these restricted stock units vest in 1/3 annual increments over three years commencing on May 15, 2017; and 20,000 of these restricted stock units vest in full on November 15, 2019 after a three-year "cliff" vesting period.
 - (2) Immediately exercisable.
 - (3) Employee stock option (with tandem tax withholding rights) held under the Invacare Corporation 2003 Performance Plan and granted on March 18, 2013. The option becomes exercisable in four equal annual installments. The first installment became exercisable on March 31, 2014, the second installment became exercisable on March 31, 2015, the third installment became exercisable on March 31, 2016, and the fourth installment becomes exercisable on March 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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