### **GLOBUS MEDICAL INC**

Form 4/A March 02, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Paul David C			Symbol	Symbol				Issuer		
		(Middle)	GLOBUS MEDICAL INC [GMED]			ED]	(Check all applicable)			
(Last)	3. Date of	of Earliest T	ransaction							
			(Month/I	Day/Year)			_	_X_ Director	_X_ 109	
VALLEY I	FORGE BUSIN	NESS	01/20/2	2015			-	_X_ Officer (give title Other (specify		
CENTER,	2560 GENERA	<b>AL</b>						below) below) Chief Executive Officer		
ARMISTE	AD AVENUE							Ciliei L	executive Offic	CI
	(9, 1)		4 76 4					< T 11 1 1 T		
	(Street)				ate Original			6. Individual or Joint/Group Filing(Check		
				nth/Day/Yea	r)			Applicable Line)	. n .: n	
			01/22/2	01/22/2015				_X_ Form filed by One Reporting Person Form filed by More than One Reporting		
AUDUBO	N, PA 19403						Ī	Person	ore than One Re	porting
(City)	(State)	(Zip)	<b></b>	, .		•				
(Chy)	(State)	( <b>2.</b> P)	Tab	le I - Non-I	Derivative Sec	uritie	s Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of	2. Transaction D	Date 2A. Dee	med	3.			red (A)	5. Amount of	6.	7. Nature of
Security (Month/Day/Year) Execution			on Date, if					Securities Ownership Indirect		
(Instr. 3)		any		Code	(Instr. 3, 4 an	· · · · · · · · · · · · · · · · · · ·			Form:	Beneficial
		(Month/	Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
								Following Reported	or Indirect (I)	(Instr. 4)
						(A)		Transaction(s)	(Instr. 4)	
						or		(Instr. 3 and 4)	(111511. 4)	
				Code V	Amount	(D)	Price	(Instr. 5 und 1)		
Class A										
Common	03/12/2014			C	500,000	A	<u>(1)</u>	500,000	D	
Stock										
Class A										
Class A	00/10/0014			<b>C</b>	<b>5</b> 00 000	_	Φ.0	^	ъ	
Common	03/12/2014			G	500,000	D	\$0	0	D	
Stock										
Class A										
Common	04/15/2014			С	3,000,000	A	<u>(2)</u>	3,000,000	D	
Stock	07/13/2014				3,000,000	11	<u></u> /	5,000,000	D	
Stock										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: GLOBUS MEDICAL INC - Form 4/A

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  $(e.g., \, {\rm puts}, \, {\rm calls}, \, {\rm warrants}, \, {\rm options}, \, {\rm convertible} \, {\rm securities})$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	cise any Code or Disposed of (Month/Day/Year) (Instr. 8) (Instr. 3, 4, and		uired (A)	red (A) Expiration Date D) (Month/Day/Year		7. Title and A Underlying Se (Instr. 3 and 4		
	Security			C-1- V		(D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy Class A Common Stock)	\$ 24.1	01/20/2015		Code V	(A) 125,000	(D)	(3)	01/20/2025	Class A Common Stock
Stock Option (Right to Buy Class A Common Stock)	\$ 4.88						<u>(4)</u>	08/06/2019	Class A Common Stock
Stock Option (Right to Buy Class A Common Stock)	\$ 11.87						<u>(5)</u>	06/16/2020	Class A Common Stock
Stock Option (Right to Buy Class A Common Stock)	\$ 10.66						<u>(6)</u>	10/27/2021	Class A Common Stock
Stock Option (Right to Buy Class A	\$ 15.34						<u>(7)</u>	08/29/2022	Class A Common Stock

### Edgar Filing: GLOBUS MEDICAL INC - Form 4/A

Common Stock)							
Stock Option (Right to Buy Class A Common Stock)	\$ 13.04				(8)	01/24/2023	Class A Common Stock
Stock Option (Right to Buy Class A Common Stock)	\$ 23.95				<u>(9)</u>	01/23/2024	Class A Common Stock
Class B Common Stock (10)	(1)	03/12/2014	С	500,000	<u>(1)</u>	<u>(1)</u>	Class A Common Stock
Class B Common Stock (10)	<u>(2)</u>	04/15/2014	С	3,000,000 (2)	<u>(2)</u>	(2)	Class A Common Stock
Class B Common Stock (10)	(10)				(10)	(10)	Class A Common Stock
Class B Common Stock (10)	(10)				(10)	(10)	Class A Common Stock
Class B Common Stock (10)	(10)				(10)	(10)	Class A Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Paul David C VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403	X	X	Chief Executive Officer				

Reporting Owners 3

### **Signatures**

/s/ David P. Creekman, Attorney-in-Fact

03/03/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

than 5% of all outstanding shares of the Company's common stock.

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Company's Class B Common Stock converted into the Company's Class A Common Stock on a one-for-one basis upon the reporting person's gift of the shares and has no expiration date.
- (2) The Company's Class B Common Stock converted into the Company's Class A Common Stock on a one-for-one basis at the election of the reporting person and has no expiration date.
- These options were granted on January 20, 2015, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2016, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on August 6, 2009, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on June 16, 2010, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on October 27, 2011, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on August 29, 2012, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2013, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on January 24, 2013, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
- These options were granted on January 23, 2014, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.
  - From the date of acquisition, the Company's Class B Common Stock converts into the Company's Class A Common Stock on a one-for-one basis (i) at the option of the holder, (ii) upon certain transfers, (iii) upon the closing of the Company's initial public offering if at that time the Company's Class B Common Stock that the holder beneficially owns is less than 10% of the aggregate number of all outstanding shares of the Company's common stock, and (iv) immediately if, at any time following the initial public offering of the Company's common stock, the Company's Class B Common Stock that the holder beneficially owns represents less
- (11) These shares are held in a trust for the benefit of the reporting person's spouse and children. The reporting person's spouse is trustee of the trust.
- These shares are held in a trust for the benefit of a child of the reporting person. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (13) These shares are held in a trust for the benefit of a child of the reporting person. The reporting person is trustee of the trust.

#### **Remarks:**

(10)

This Amendment is being filed to correct the description of the David C. Paul Trust in footnote 12. The original report errone Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Signatures 4

### Edgar Filing: GLOBUS MEDICAL INC - Form 4/A

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.