#### Hortonworks, Inc. Form 3 December 11, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0.5 response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<ol> <li>Name and Address of Reporting Person <u>*</u></li> <li>Â Benchmark Capital Management Co. VII, L.L.C.</li> </ol>			2. Date of Event Requirin Statement (Month/Day/Year) 12/11/2014		3. Issuer Name <b>and</b> Ticker or Trading Symbol Hortonworks, Inc. [HDP]			
(Last)	(First)	(Middle)		4. Relationsl Person(s) to	hip of Reporting Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)		
2965 WOO	DSIDE RO	AD		(Chao	k all applicable)			
	(Street)			(Chec.	k an applicable)	6. Individual or Joint/Group		
WOODSIDE, CA 94062				Directo Officer (give title belo	Other	Filing(Check Applicable Line) Form filed by One Reporting Person X_Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I -	Non-Deriva	tive Securities	Beneficially Owned		
1.Title of Security2. Amount o(Instr. 4)Beneficially (Instr. 4)			t of Securities ly Owned					
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)								
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.								
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Der	ivative Securi	ity 2. Dat	e Exercisable and 3. Title	and Amount of	4.	5. 6. Nature of Indirect		

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date		3. Title and Amount of Securities Underlying		4. Conversion	5. Ownership	6. Nature of Indirect Beneficial Ownership
	(Month/Day/Year)		Derivative Security		or Exercise	Form of	(Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security: Security Direct (D) or Indirect		

(I)

						(Instr. 5)	
Series A Convertible Preferred Stock	( <u>1)</u>	(1)	Common	5,879,356	\$ <u>(1)</u>	Ι	By Benchmark Capital Partners VII, L.P. (2)
Series C Convertible Preferred Stock	( <u>1)</u>	(1)	Common	261,050	\$ <u>(1)</u>	Ι	By Benchmark Capital Partners VII, L.P. (2)
Series D Convertible Preferred Stock	(1)	(1)	Common	196,397	\$ <u>(1)</u>	Ι	By Benchmark Capital Partners VII, L.P. (2)

# **Reporting Owners**

Reporting Owner Name / Address		Relationships			
FB	Director	10% Owner	Officer	Other	
Benchmark Capital Management Co. VII, L.L.C. 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	ÂX	Â	Â	
Benchmark Capital Partners VII, L.P. 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	ÂX	Â	Â	
Benchmark Founders' Fund VII, L.P. 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	ÂX	Â	Â	
Benchmark Founders' Fund VII-B, L.P. 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	ÂX	Â	Â	

## Signatures

Benchmark Capital Management Co. VII, L.L.C., /s/ Steven M. Spurlock, Managing Member	12/11/2014
**Signature of Reporting Person	Date
Benchmark Capital Partners VII, L.P., by Benchmark Capital Management Co. VII, L.L.C., its general partner, /s/ Steven M. Spurlock, Managing Member1	12/11/2014
**Signature of Reporting Person	Date
Benchmark Founders' Fund VII, L.P., by Benchmark Capital Management Co. VII, L.L.C., its general partner, /s/ Steven M. Spurlock, Managing Member	12/11/2014
**Signature of Reporting Person	Date
Benchmark Founders' Fund VII-B, L.P., by Benchmark Capital Management Co. VII, L.L.C., its general partner, /s/ Steven M. Spurlock, Managing Member	12/11/2014
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each share of Series A Convertible Preferred Stock, Series C Convertible Preferred Stock and Series D Convertible Preferred Stock automatically converts into Common Stock on a 2-for-1 basis (for every two shares of Preferred Stock, one share of Common Stock)

automatically converts into common stock on a 2-for-1 basis (for every two shares of referred stock, one share of common stock) immediately prior to the closing of the Issuer's initial public offering of Common Stock and has no expiration date or conversion price. The number of underlying shares of Common Stock is shown in the table above.

Shares held of record by Benchmark Capital Partners VII, L.P, ("BCP VII"), as nominee for BCP VII, Benchmark Founders' Fund VII, L.P. ("BFF VII"), Benchmark Founders' Fund VII-B, L.P. ("BFF VII-B") and related persons. Benchmark Capital Management Co. VII, L.L.C. ("BCMC VII"), the general partner of each of BCP VII, BFF VII and BFF VII-B, may be deemed to have sole voting and investment power over such shares. Matthew R. Cohler, Bruce W. Dunlevie, Peter H. Fenton, J. William Gurley, Kevin R. Harvey,

(2) Investment power over such shares. Matthew R. Conlet, Bruce W. Dunlevie, Peter H. Penton, J. Winnam Guney, Kevin K. Harvey, Steven M. Spurlock, and Mitchell H. Lasky are the managing members of BCMC VII, which serves as general partner to BCP VII, BFF VII and BFF VII-B, and may be deemed to share voting and investment power over the shares beneficially held by such entities. Each such person and entity disclaims the existence of a "group" and disclaims beneficial ownership of any securities (except to the extent of such person's or entity's pecuniary interest in such securities).

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### Remarks:

### This report is one of four reports, each on a separate Form 3, but relating to the same transacti

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.