

AUTOLIV INC
Form 4
November 17, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Carlson Jan

(Last) (First) (Middle)

C/O AUTOLIV,
INC., VASAGATAN 11, 7TH
FLOOR

(Street)

STOCKHOLM, V7 SE-111 20

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AUTOLIV INC [ALV]

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--------|---|--|-----------------------------------|------------|-------|
| | | | | Code | V | Amount | | | | (A) or (D) | Price |
| Common Stock | 11/13/2014 | | M | | | 20,000 | A | \$ 51.67 | 75,861 | D | |
| Common Stock | 11/13/2014 | | M | | | 15,000 | A | \$ 57.4 | 90,861 | D | |
| Common Stock | 11/13/2014 | | M | | | 5,000 | A | \$ 59.01 | 95,861 | D | |
| Common Stock | 11/13/2014 | | M | | | 15,000 | A | \$ 67 | 110,861 | D | |
| Common Stock | 11/13/2014 | | M | | | 18,895 | A | \$ 69.18 | 129,756 | D | |

Edgar Filing: AUTOLIV INC - Form 4

| | | | | | | | |
|--------------|------------|---|--------|---|-----------------------|---------|---|
| Common Stock | 11/13/2014 | M | 14,280 | A | \$ 72.95 | 144,036 | D |
| Common Stock | 11/13/2014 | M | 24,000 | A | \$ 44.7 | 168,036 | D |
| Common Stock | 11/13/2014 | S | 88,175 | D | \$ 97.0015 (1) (2) | 79,861 | D |
| Common Stock | 11/14/2014 | S | 2,000 | D | \$ 97 (1) | 77,861 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 51.67 | 11/13/2014 | | M | 20,000 | 02/19/2009 02/19/2018 | Common Stock 20,000 |
| Employee Stock Option (right to buy) | \$ 57.4 | 11/13/2014 | | M | 15,000 | 06/14/2008 06/14/2017 | Common Stock 15,000 |
| Employee Stock Option (right to buy) | \$ 59.01 | 11/13/2014 | | M | 5,000 | 02/14/2008 02/14/2017 | Common Stock 5,000 |
| Employee Stock | \$ 67 | 11/13/2014 | | M | 15,000 | 02/22/2013 02/22/2022 | Common Stock 15,000 |

Option
(right to
buy)

Employee
Stock

| | | | | | | | | |
|-----------------------------|----------|------------|---|--------|------------|------------|-----------------|--------|
| Option (right to buy) | \$ 69.18 | 11/13/2014 | M | 18,895 | 02/19/2014 | 02/19/2023 | Common Stock | 18,895 |
|-----------------------------|----------|------------|---|--------|------------|------------|-----------------|--------|

Employee
Stock

| | | | | | | | | |
|-----------------------------|----------|------------|---|--------|------------|------------|-----------------|--------|
| Option (right to buy) | \$ 72.95 | 11/13/2014 | M | 14,280 | 02/22/2012 | 02/22/2021 | Common Stock | 14,280 |
|-----------------------------|----------|------------|---|--------|------------|------------|-----------------|--------|

Employee
Stock

| | | | | | | | | |
|-----------------------------|---------|------------|---|--------|------------|------------|-----------------|--------|
| Option (right to buy) | \$ 44.7 | 11/13/2014 | M | 24,000 | 02/18/2011 | 02/18/2020 | Common Stock | 24,000 |
|-----------------------------|---------|------------|---|--------|------------|------------|-----------------|--------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Carlson Jan C/O AUTOLIV, INC. VASAGATAN 11, 7TH FLOOR STOCKHOLM, V7 SE-111 20 | X | | President & CEO | |

Signatures

/s/ JAN
CARLSON 11/17/2014

 Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person on August 22, 2014.

Reflects the weighted average sale price. The reporting person effected multiple same-way open market sale transactions on the same day at different prices through a trade order executed by a broker dealer. The reporting person reported on a single line all such transactions

- (2) that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price. The range of prices for such transaction is \$97.00 to \$97.04

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.