

HOME BANCSHARES INC
Form 4
September 03, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/02/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

CONWAY, AR 72033
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	09/02/2014		M		2,851 A \$ 3.08	D	
Common Stock	09/02/2014		M		712 A \$ 3.5	D	
Common Stock	09/02/2014		M		712 A \$ 3.92	D	
Common Stock	09/02/2014		M		712 A \$ 4.21	D	
Common Stock	09/02/2014		M		712 A \$ 4.92	D	
Common Stock	09/02/2014		M		17,276 A	D	

Edgar Filing: HOME BANCSHARES INC - Form 4

	\$		
Common Stock	4.78	6,681,339 <u>(1)</u>	I By 401(k)
Common Stock		8,136	I By IRA
Common Stock		427,680	I By wife
Common Stock		33,664	I By Capital Buyers
Common Stock-Restricted		41,333 <u>(2)</u> <u>(3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Stock Option	\$ 3.08	09/02/2014		M	2,851	<u>(4)</u> <u>(4)</u>	Common Stock 2,851
Stock Option	\$ 3.5	09/02/2014		M	712	<u>(5)</u> <u>(5)</u>	Common Stock 712
Stock Option	\$ 3.92	09/02/2014		M	712	<u>(6)</u> <u>(6)</u>	Common Stock 712
Stock Option	\$ 4.21	09/02/2014		M	712	<u>(7)</u> <u>(7)</u>	Common Stock 712
Stock Option	\$ 4.78	09/02/2014		M	17,276	<u>(8)</u> <u>(8)</u>	Common Stock 17,276
Stock Option	\$ 4.92	09/02/2014		M	712	<u>(9)</u> <u>(9)</u>	Common Stock 712
Stock Option	\$ 5.33					<u>(10)</u> <u>(10)</u>	Common Stock 3,500

Stock Option	\$ 5.33	07/27/2005	07/27/2015	Common Stock	178,9
Performance Stock Option	\$ 5.54	01/01/2010	03/13/2016	Common Stock	88,9
Stock Option	\$ 8.54	<u>(11)</u>	01/17/2018	Common Stock	35,0
Stock Option	\$ 8.6	<u>(12)</u>	01/09/2018	Common Stock	4,7
Stock Option	\$ 10.16	<u>(13)</u>	01/18/2017	Common Stock	47,5
Stock Option	\$ 17.25	01/18/2014	01/17/2023	Common Stock	50,0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033	X		Chairman	

Signatures

/s/John W. Allison by Rachel Wesson 09/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 15,230 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- (2) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (3) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
- (4) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and would have expired on December 31, 2010.
- (5) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and would have expired on December 31, 2011.
- (6) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and would have expired on December 31, 2012.
- (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
- (8) 3,456 options have an expiration date of December 31, 2014. The remaining 13,820 options vested on January 1, 2005 with an expiration date of January 1, 2015.
- (9) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.

Edgar Filing: HOME BANCSHARES INC - Form 4

- (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
- (11) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (12) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (13) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.