## Edgar Filing: ASSOCIATED ESTATES REALTY CORP - Form 4/A

ASSOCIATED ESTATES REALTY CORP Form 4/A February 05, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Irwin Scott D Issuer Symbol ASSOCIATED ESTATES REALTY (Check all applicable) CORP [AEC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) ASFSAD 02/04/2014 VP, General Counsel & Secty. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 02/04/2014 Form filed by More than One Reporting ASDFSAD, OH asdfsad Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common Shares. 1,087 F 02/04/2014 D \$16 56,116 D (1)without par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: ASSOCIATED ESTATES REALTY CORP - Form 4/A

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or | onNumber Expiration Date<br>of (Month/Day/Year)<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                    | Amou<br>Unde<br>Secur | le and<br>unt of<br>rlying<br>rities<br>(. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|----------------------------------|---|--------------------|-----------------------|---|---|--|
|   |   |   |   | Code V                               | 7 (A) (D)                        | Date<br>Exercisable   | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares              |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                   | Relationships |           |                              |       |  |  |  |
|--|---------------|-----------|------------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                      | Other |  |  |  |
| Irwin Scott D<br>ASFSAD<br>ASDFSAD, OH asdfsad   |               |           | VP, General Counsel & Secty. |       |  |  |  |
| Signatures                                       |               |           |                              |       |  |  |  |
| /s/ Suzanne K. Hanselman, as<br>Attorney-in-Fact |               |           | 02/05/2014                   |       |  |  |  |
| **Signature of Reporting Perso                   | n             |           | Date                         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were delivered to the issuer to pay for the applicable withholding tax due upon vesting of certain shares of restricted stock and were inadvertently omitted from the reporting person's Form 4 filed on February 4, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.