

GREATBATCH, INC.  
Form 4  
August 02, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MAZZA THOMAS J

(Last) (First) (Middle)  
10000 WEHRLE DRIVE  
(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction (Month/Day/Year)  
07/31/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP, Corporate Controller

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	07/31/2013		S	15,000	D \$ 37.86	7,647	D
Common Stock	07/31/2013		M	7,074	A \$ 37.92	14,721	D
Common Stock	07/31/2013		F	5,325	D \$ 37.92	9,396	D
Common Stock	07/31/2013		M	12,781	A \$ 37.78	22,177	D
Common Stock	07/31/2013		F	9,936	D \$ 37.78	12,241	D

Edgar Filing: GREATBATCH, INC. - Form 4

Common Stock	08/01/2013	S	2,511	D	\$ 37.81	9,730	D	
Common Stock	08/01/2013	M	40,413	A	\$ 37.81	50,143	D	
Common Stock	08/01/2013	F	32,565	D	\$ 37.81	17,578	D	
Common Stock						3,264	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 22.1	08/01/2013		M	8,043	12/28/2012	01/01/2022	Common	8,043
Stock Option	\$ 24.15	08/01/2013		M	13,811	12/30/2011	01/01/2021	Common	13,811
Stock Option	\$ 20.84	08/01/2013		M	11,468	12/31/2010	03/10/2020	Common	11,468
Employee stock options	\$ 21.88	08/01/2013		M	7,091	02/28/2011	10/13/2018	Common	7,091
Employee stock options	\$ 18.24	07/31/2013		M	7,074	12/31/2005	03/31/2015	Common	7,074
Stock options	\$ 20.14	07/31/2013		M	12,781	12/31/2008	03/04/2018	Common	12,781

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MAZZA THOMAS J 10000 WEHRLE DRIVE CLARENCE, NY 14031			VP, Corporate Controller	

## Signatures

/s/ Christopher J. Thome as attorney-in-fact for Thomas J. Mazza

08/02/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.