Edgar Filing: Klvac David J - Form 4

Klvac David J

Form 4	J									
March 06, 20	013									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							т –	PPROVAL		
Washington, D.C. 20549						OMB Number:	3235-0287			
Check this box if no longer STATEMENT OF CHANCES IN DENEELCIAL OWNER						Expires:	January 31, 2005			
In the folder STATEMENT OF CHANGES IN BENEFICIAL OWNERS subject to Section 16. Section 16. SECURITIES Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act					Estimated a burden hou response	average Irs per				
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a)) of the Public		ding Con	npany	y Act of	f 1935 or Sectio	n		
(Print or Type R	Responses)									
1. Name and Address of Reporting Person <u>*</u> Klvac David J			suer Name and ol Rock Energy			-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi							ck all applicable	e)	
(Last) (First) (Middle) 210 PARK AVE., STE. 2750			3. Date of Earliest Transaction (Month/Day/Year) 03/04/2013				Director 10% Owner Officer (give title Other (specify below) below) Chief Financial Officer			
			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting 			
							Person			
(City)	(State) (Z	Zip)]	able I - Non-I	Derivative	Secur	ities Acc	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dee Execution any (Month/		Execution Date	on Date, if Transacti Code			ed of	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	(A) or (D)	Price \$	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	03/04/2013		S <u>(1)</u>	3,712	D	0 1.78 (2)	270,853	D		
Common Stock	03/05/2013		S <u>(1)</u>	87	D	\$ 1.8	270,766	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Klvac David J 210 PARK AVE., STE. 2750 OKLAHOMA CITY, OK 73102			Chief Financial Officer				
Signatures							

David J. Klvac	03/06/2013		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a Rule 10b5-1 trading plan to pay the tax liability incident to the vesting of restricted stock awards.

The price reported in Column 4 is a weighted average price. The reporting person undertakes to provide to the issuer, any security holder
 of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold

(2) at each separate price within the range set forth in this Form 4. These shares were sold in multiple transactions on March 4, 2012 at prices ranging from \$1.75 - \$1.82, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.