

HOME BANCSHARES INC

Form 4

November 02, 2012

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LIEBLONG ALEX R**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**HOME BANCSHARES INC**  
**[HOMB]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/02/2012**

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

**P.O. BOX 966**

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**CONWAY, AR 72033**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                   |
| Common<br>Stock                       | 11/02/2012                              |                                                             | S                                    |                                                                         | 31,700                                                                                                             | D                                                                       | \$<br>34.2631                           |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 357,365                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 194,244                                 |
| Common<br>Stock -<br>Restricted       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 666 <sup>(8)</sup>                      |

By Key  
Colony  
Fund  
(hedge  
fund  
managing  
partner)

# Edgar Filing: HOME BANCSHARES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|--------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of<br>Shares                 |
| Stock<br>Option                                     | \$ 6.17                                                            |                                         |                                                             |                                      |                                                                                                                    | (2)                                                            | (2)                | Common<br>Stock                                                     | 1,605                                                  |
| Stock<br>Option                                     | \$ 7.01                                                            |                                         |                                                             |                                      |                                                                                                                    | (3)                                                            | (3)                | Common<br>Stock                                                     | 1,426                                                  |
| Stock<br>Option                                     | \$ 17.21                                                           |                                         |                                                             |                                      |                                                                                                                    | (1)                                                            | 01/09/2018         | Common<br>Stock                                                     | 1,188                                                  |
| Stock<br>Option                                     | \$ 7.85                                                            |                                         |                                                             |                                      |                                                                                                                    | (4)                                                            | (4)                | Common<br>Stock                                                     | 1,782                                                  |
| Stock<br>Option                                     | \$ 8.42                                                            |                                         |                                                             |                                      |                                                                                                                    | (5)                                                            | (5)                | Common<br>Stock                                                     | 1,782                                                  |
| Stock<br>Option                                     | \$ 9.83                                                            |                                         |                                                             |                                      |                                                                                                                    | (6)                                                            | (6)                | Common<br>Stock                                                     | 1,782                                                  |
| Stock<br>Option                                     | \$ 10.66                                                           |                                         |                                                             |                                      |                                                                                                                    | (7)                                                            | (7)                | Common<br>Stock                                                     | 1,782                                                  |

## Reporting Owners

| Reporting Owner Name / Address                      | Relationships                    |
|-----------------------------------------------------|----------------------------------|
|                                                     | Director 10% Owner Officer Other |
| LIEBLONG ALEX R<br>P.O. BOX 966<br>CONWAY, AR 72033 | X                                |

## Signatures

/s/Alex R. Lieblong by Rachel  
Wesson

11/02/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option is exercisable in five equal annual installments and became exercisable on January 10, 2009.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2000. The option

(2) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and expires on December 31, 2010.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2001. The option

(3) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and expires on December 31, 2011.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2002. The option

(4) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and expires on December 31, 2012.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2003. The option

(5) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and expires on December 31, 2013.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2004. The option

(6) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.

The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2005. The option

(7) expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.

(8) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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