Edgar Filing: STEINMETZ MICHAEL - Form 3

STEINMETZ MICHAEL

Form 3

August 02, 2012

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104 Number:

OMB APPROVAL

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Clarus Lifesciences I, L.P.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/02/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GLOBUS MEDICAL INC [GMED]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CLARUS VENTURES. LLC, 101 MAIN STREET,

SUITE 1210

(Street)

Director _X_ 10% Owner

(Check all applicable)

Officer Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

CAMBRIDGE. MAÂ 02142

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Class A Common Stock 604,265 Ι By Fund (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative

Derivative Security:

Edgar Filing: STEINMETZ MICHAEL - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series E Preferred Stock (2)	07/23/2007	(2)	Class A Common	7,444,168 (2)	\$ <u>(2)</u>	I	By Fund (1)

Reporting Owners

Reporting Owner Name / Address	Relationships						
toporoug o water rune, raun oso	Director	10% Owner	Officer	Other			
Clarus Lifesciences I, L.P. C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	ÂX	Â	Â			
Simon Nicholas C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
GALAKATOS NICHOLAS C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
LIPTAK ROBERT C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
WHEELER KURT C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
STEINMETZ MICHAEL C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
HENNER DENNIS C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	Managing dir. of GP			
Clarus Ventures I Management, L.P. C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MA 02142	Â	Â	Â	General Partner			
	Â	Â	Â	GP Clarus Ventures I Mngmt.			

Reporting Owners 2

Clarus Ventures I, LLC C/O CLARUS VENTURES, LLC 101 MAIN STREET, SUITE 1210 CAMBRIDGE, MAÂ 02142

Signatures

/s/ Robert Liptak, Managing director of Clarus Ventures I, LLC, general partner of Clarus Ventures I Management, L.P., general partner of Clarus Lifesciences I, L.P.					
**Signature of Reporting Person	Date				
Robert Liptak, as attorney-in-fact for Nicholas Simon	08/02/2012				
**Signature of Reporting Person	Date				
Robert Liptak, as attorney-in-fact for Nicholas Galakatos	08/02/2012				
**Signature of Reporting Person	Date				
Robert Liptak	08/02/2012				
**Signature of Reporting Person	Date				
Robert Liptak, as attorney-in-fact for Kurt Wheeler	08/02/2012				
**Signature of Reporting Person	Date				
Robert Liptak, as attorney-in-fact for Michael Steinmetz	08/02/2012				
**Signature of Reporting Person	Date				
Robert Liptak, as attorney-in-fact for Dennis Henner					
**Signature of Reporting Person	Date				
Robert Liptak, Managing director of Clarus Ventures I, LLC, general partner of Clarus Ventures I Management, L.P.					
**Signature of Reporting Person	Date				
Robert Liptak, Managing director of Clarus Ventures I, LLC					
**Signature of Reporting Person	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Clarus Ventures I Management, L.P. ("Clarus I Management") as the sole general partner of Clarus Lifesciences I, L.P. (the "Fund") and Clarus Ventures I, LLC ("Clarus I GPLLC") as the sole general partner of Clarus I Management, may be deemed to beneficially own certain of the shares held of record by the Fund. Clarus I Management disclaims beneficial ownership of all shares held of record by the
- (1) Fund in which Clarus I Management does not have an actual pecuniary interest. Each of Messrs. Galakatos, Henner, Liptak, Simon, Steinmetz and Wheeler, as individual Managing Directors of Clarus I GPLLC, may be deemed to beneficially own certain of the shares held of record by the Fund. Each of Clarus I GPLLC and Messrs. Galakatos, Henner, Liptak, Simon, Steinmetz and Wheeler disclaims beneficial ownership of all shares held of record by the Fund in which he does not have an actual pecuniary interest.
- The Series E Preferred Stock is convertible into the Company's Class A Common Stock, currently on a one-for-one basis, and will automatically convert into Class A Common Stock upon the closing of the Company's initial public offering. The Series E Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3