Edgar Filing: HARBOURVEST PARTNERS LLC - Form 4

HARBOURVEST PARTNERS LLC

Form 4 May 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005 Estimated average

burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

(Print or Type Responses)

(Last)

(Instr. 3)

1. Name and Address of Reporting Person * HarbourVest International Private Equity Partners V-Direct Fund L.P.

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

ENVIVIO INC [ENVI]

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Month/Day/Year) 04/30/2012

Director Officer (give title below)

10% Owner Other (specify

C/O HARBOURVEST PARTNERS LLC, ONE FINANCIAL CENTER, 44TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BOSTON, MA 02110

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

Execution Date, if (Month/Day/Year)

4. Securities Acquired (A) 5. Amount of 3. Transaction or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned **Following**

6. Ownership Form: Direct (D) or Indirect

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Reported

Common 04/30/2012

Code Amount C(1)(2)1.077,458

Price (D) (2)

3,639,939

 $D^{(3)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HARBOURVEST PARTNERS LLC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Series G2 Preferred	<u>(1)</u>	04/30/2012		C		697,876	04/30/2012(1)	04/30/2012(1)	Common Stock	69
Series H2 Preferred	<u>(2)</u>	04/30/2012		C		397,582	04/30/2012(2)	04/30/2012(2)	Common Stock	31

Relationships

Reporting Owners

Reporting Owner Name / Address

	Director	10% Owner	Officer	Other
HarbourVest International Private Equity Partners V-Direct Fund L.P. C/O HARBOURVEST PARTNERS LLC ONE FINANCIAL CENTER, 44TH FLOOR BOSTON, MA 02110		X		
HIPEP V-Direct Associates L.P. C/O HARBOURVEST PARTNERS LLC ONE FINANCIAL CENTER, 44TH FLOOR BOSTON, MA 02110				See Footnote 3
HIPEP V-Direct Associates LLC C/O HARBOURVEST PARTNERS LLC ONE FINANCIAL CENTER, 44TH FLOOR BOSTON, MA 02110				See Footnote 3
HARBOURVEST PARTNERS LLC C/O HARBOURVEST PARTNERS LLC ONE FINANCIAL CENTER, 44TH FLOOR BOSTON, MA 02110				See Footnote 3
Signatures				
HarbourVest International Private Equity Partners V-Direct Fund L.P. Associates L.P., its General Partner,	, By: HIP	EP V-Direct		04/30/2012
**Signature of Reporting Person				Date
By: HIPEP V-Direct Associates LLC, its General Partner, By: Harbou Managing Member, By: Martha D. Vorlicek, Managing Director	ırVest Par	tners LLC, it	ts	04/30/2012
**Signature of Reporting Person				Date
HIPEP V-Direct Associates L.P., By: HIPEP V-Direct Associates LLC By: Harbourvest Partners LLC, its Managing Member, By: Martha D. Director				04/30/2012

Reporting Owners 2

Edgar Filing: HARBOURVEST PARTNERS LLC - Form 4

**Signature of Reporting Person

Date

HIPEP V-Direct Associates LLC, By: HarbourVest Partners LLC, its Managing Member, By: Martha D. Vorlicek, Managing Director

04/30/2012

**Signature of Reporting Person

Date

HarbourVest Partners LLC, By: Martha D. Vorlicek, Managing Director

04/30/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series G2 preferred stock automatically converted into shares of common stock upon the closing of the Issuer's initial public offering, on a one-for-one basis and had no expiration date.
- (2) The Series H2 preferred stock automatically converted into shares of common stock upon the closing of the Issuer's initial public offering, on a one-for-one basis and had no expiration date.
 - These securities are owned solely by Harbour Vest International Private Equity Partners V-Direct Fund L.P. Harbour Vest Partners LLC is the Managing Member of HIPEP V-Direct Associates LLC, which is the General Partner of HIPEP V-Direct Associates L.P., which is the
- (3) General Partner of HarbourVest International Private Equity Partners V-Direct Fund L.P. Each of HarbourVest Partners LLC, HIPEP V-Direct Associates LLC and HIPEP V-Direct Associates L.P. may be deemed to have a beneficial interest in the shares held by HarbourVest International Private Equity Partners V-Direct Fund L.P. and each disclaims beneficial ownership of such shares except to the extent of its pecuniary interest which is subject to indeterminable future events.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3