**ASSURANT INC** Form 3 April 03, 2009

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Wagner Sylvia

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/01/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ASSURANT INC [AIZ]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**EVP** 

5. If Amendment, Date Original

Filed(Month/Day/Year)

ASSURANT, INC., ONE **CHASE MANHATTAN** PLAZA, 41 FL.

(Street)

Director \_X\_\_ Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10005

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Â D

SEC 1473 (7-02)

Common Stock

owned directly or indirectly.

1. Title of Security

(Instr. 4)

55,353 (1)

Reminder: Report on a separate line for each class of securities beneficially

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4 Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Price of Derivative Security:

### Edgar Filing: ASSURANT INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Appreciation Right	02/04/2005	01/01/2010	Common Stock	3,252.27	\$ 22	D	Â
Stock Appreciation Right	02/04/2005	01/01/2010	Common Stock	4,415.96	\$ 26.82	D	Â
Stock Appreciation Right	02/04/2005	01/01/2011	Common Stock	3,706.36	\$ 22	D	Â
Stock Appreciation Right	02/04/2005	01/01/2011	Common Stock	5,603.44	\$ 30.11	D	Â
Stock Appreciation Right	02/04/2005	01/01/2012	Common Stock	4,270.91	\$ 22	D	Â
Stock Appreciation Right	02/04/2005	01/01/2012	Common Stock	5,728.68	\$ 30.83	D	Â
Stock Appreciation Right	12/31/2005	01/01/2013	Common Stock	3,657.27	\$ 22	D	Â
Stock Appreciation Right	12/31/2005	01/01/2013	Common Stock	5,494.43	\$ 33.45	D	Â
Stock Appreciation Right	12/31/2006	01/01/2014	Common Stock	7,275.66	\$ 31.3	D	Â
Stock Appreciation Right	12/31/2006	01/01/2014	Common Stock	3,450	\$ 22	D	Â
Stock Appreciation Right	12/31/2007	06/30/2010	Common Stock	7,717	\$ 35.64	D	Â
Stock Appreciation Right	12/31/2008	04/01/2011	Common Stock	9,667.38	\$ 49.25	D	Â
Stock Appreciation Right	03/08/2010	03/08/2012	Common Stock	8,450	\$ 53.48	D	Â
Stock Appreciation Right	03/13/2011	03/13/2013	Common Stock	8,650	\$ 60.65	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships		
	Director	10% Owner	Officer	Other
Wagner Sylvia				
ASSURANT, INC.	Â	Â	EVP	Â
ONE CHASE MANHATTAN PLAZA, 41 FL.	А	А	ALVI	А
NEW YORK, NY 10005				

Reporting Owners 2

## **Signatures**

Lisa Richter, Attorney-in-Fact 04/03/2009

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3