Edgar Filing: AMERUS GROUP CO/IA - Form 4

AMERUS GROUP CO/IA

Form 4

November 15, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and KLEIN W. | Symbol | 2. Issuer Name and Ticker or Trading Symbol AMERUS GROUP CO/IA [AMH] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--------------------------------------|---|--|--|---|---------|--|--|--|---|
| (Last) | | (Month/ | Day/Year) | Γransaction | - | _ | _X Director | | Owner |
| 699 WALI 2000 | JITE 11/15/2 | 11/15/2006 | | | | Officer (give ti elow) | tle Othe below) | r (specify | |
| | (Street) | | nendment, I | Oate Original ar) | | A | Individual or Join pplicable Line) X_ Form filed by Or | | - |
| | NES, IA 50309 | | | | | Pe | _ Form filed by Moerson | ore than One Rep | porting |
| (City) | (State) | (Zip) Tal | ole I - Non- | Derivative Secu | ırities | Acquir | ed, Disposed of, | or Beneficiall | y Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securities A order Disposed of (Instr. 3, 4 and Amount | (D) | d (A) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Stock | 11/15/2006 | 11/15/2006 | D | 4,256.3354 | D | \$ 69 | 0 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: AMERUS GROUP CO/IA - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|---------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amour or Number of Shares |
| Non-Qualified Option (Right to Buy) | \$ 44.55 | 11/15/2006 | 11/15/2006 | D | 3,500 | <u>(1)</u> | 01/03/2015 | Common Stock | 3,50 |
| Non-Qualified Option (Right to Buy) | \$ 56.61 | 11/15/2006 | 11/15/2006 | D | 3,500 | <u>(1)</u> | 01/03/2016 | Common Stock | 3,50 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| KLEIN WARD M | | | | | | | |
| 699 WALNUT STREET | X | | | | | | |
| SUITE 2000 | Λ | | | | | | |
| DES MOINES, IA 50309 | | | | | | | |

Signatures

/s/ Jeananne M. Celander, Attorney-in-fact for Mr. Klein

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was vested immediately and was disposed of pursuant to the merger agreement between the issuer and Aviva plc for the price reflected in column 8.

Remarks:

AmerUs Group Co. (NYSE: AMH) was merged into an acquisition subsidiary of Aviva plc on 11/15/2006. This form reports Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

11/15/2006

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2