

S Y BANCORP INC
Form 4
February 20, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HEINTZMAN DAVID P

(Last) (First) (Middle)
3019 POPPY WAY

(Street)

LOUISVILLE, KY 40206

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
S Y BANCORP INC [SYBT]

3. Date of Earliest Transaction
(Month/Day/Year)
02/18/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	02/18/2014		F	443 D \$ 29.05	98,791	D	
Common Stock					21,499.4887	I	By 401k/ESOP - fbo David Heintman
Common Stock					4,041	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option (Right to Buy)	\$ 22.8095					06/14/2005 12/14/2014	Common Stock 3,7
Option (Right to Buy)	\$ 24.0667					07/17/2006 01/17/2016	Common Stock 31,5
Option (Right to Buy)	\$ 26.83					08/20/2007 02/20/2017	Common Stock 22,0
Stock Appreciation Right	\$ 23.37					08/19/2008 02/19/2018	Common Stock 13,5
Stock Appreciation Right	\$ 22.14					02/17/2010 02/17/2019	Common Stock 12,3
Stock Appreciation Right	\$ 21.03					02/16/2011 02/16/2020	Common Stock 17,5
Stock Appreciation Right	\$ 23.76					03/15/2012 03/15/2021	Common Stock 14,3
Stock Appreciation Right	\$ 22.86					02/20/2013 02/20/2022	Common Stock 24,2
Stock Appreciation Right	\$ 22.89					02/19/2014 02/19/2023	Common Stock 16,6
Stock Appreciation	\$ 29.05	02/18/2014		A	19,326	02/14/2015 02/14/2024	Common Stock 19,3

