Zosano Pharma Corp Form 8-K January 25, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of report (Date of earliest event reported): January 24, 2017

ZOSANO PHARMA CORPORATION

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-36570 (Commission

45-4488360 (I.R.S. Employer

of incorporation)

File Number) 34790 Ardentech Court **Identification No.)**

Fremont, CA 94555

Edgar Filing: Zosano Pharma Corp - Form 8-K

(Address of principal executive offices) (Zip Code)

(510) 745-1200

Registrant s telephone number, including area code

Not applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events.

On January 24, 2017, Zosano Pharma Corporation received a letter from the Director of NASDAQ Listing Qualifications notifying the Company that it has regained compliance with the requirement of the NASDAQ Stock Market to maintain a minimum bid price of \$1.00 per share.

The letter noted that as of the close of trading on January 20, 2017, the Company evidenced a closing bid price of its common stock that was greater than or equal to the \$1.00 minimum requirement for at least ten consecutive trading days. Accordingly, the Company has regained compliance with NASDAQ Listing Rule 5550(a)(2) and NASDAQ considers the matter closed.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 25, 2017

ZOSANO PHARMA CORPORATION

By: /s/ Georgia Erbez Name: Georgia Erbez

Title: Chief Business Officer and Interim Chief

Financial Officer

3