Edgar Filing: ZIOPHARM ONCOLOGY INC - Form 8-K

ZIOPHARM ONCOLOGY INC Form 8-K January 10, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d)

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): January 10, 2017

ZIOPHARM Oncology, Inc.

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction 001-33038 (Commission 84-1475642 (IRS Employer

of Incorporation)

File Number)

Identification No.)

One First Avenue, Parris Building 34, Navy Yard Plaza

02129

Edgar Filing: ZIOPHARM ONCOLOGY INC - Form 8-K

Boston, Massachusetts (Address of Principal Executive Offices) (617) 259-1970

(Zip Code)

(Registrant s Telephone Number, including Area Code)

Not applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425).

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12).

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)).

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)).

Item 7.01 Regulation FD Disclosure

On January 10, 2017, ZIOPHARM Oncology, Inc., or the Company, together with Intrexon Corporation, or Intrexon, issued a press release announcing the signing of a Cooperative Research and Development Agreement with the National Cancer Institute for the development of adoptive cell transfer-based immunotherapies genetically modified using the *Sleeping Beauty* transposon/transposase system to express T-cell receptors for the treatment of solid tumors.

A copy of the above referenced press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K. This information, including the information contained in the press release furnished as Exhibit 99.1, shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, and is not incorporated by reference into any of the Company s filings, whether made before or after the date hereof, regardless of any general incorporation language in any such filing.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

Exhibit No. Description 99.1 Press Release of the Company and Intrexon dated January 10, 2017

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 10, 2017

ZIOPHARM Oncology, Inc.

By: /s/ Kevin G. Lafond Name: Kevin G. Lafond

Title: Sr. Vice President Finance, Chief Accounting

Officer and Treasurer

3

INDEX OF EXHIBITS

Exhibit No. Description

99.1 Press Release of the Company and Intrexon dated January 10, 2017

4