

SOON SHIONG PATRICK
Form SC 13D/A
April 10, 2013

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934

(Amendment No. 2)*

JAKKS PACIFIC, INC.

(Name of Issuer)

Common Stock, par value \$0.001 per share

(Title of Class of Securities)

47012E106

(CUSIP Number)

California Capital Z, LLC

10182 Culver Boulevard

Culver City, California 90232

(310) 836-6400

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

April 5, 2013

(Date of Event Which Requires Filing of this Statement)

Edgar Filing: SOON SHIONG PATRICK - Form SC 13D/A

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box. "

Note. Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page. The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1 NAME OF REPORTING PERSONS**I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)**

2 Dr. Patrick Soon-Shiong
CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐ (b) ☒

3 SEC USE ONLY**4 SOURCE OF FUNDS***

5 OO, PF (See Item 3)
CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States

7 SOLE VOTING POWER**NUMBER OF**

SHARES 239,622 (See Item 5)

8 SHARED VOTING POWER**BENEFICIALLY****OWNED BY**

2,851,764 shares (See Item 5)

EACH **9 SOLE DISPOSITIVE POWER**
REPORTING

PERSON

WITH 239,622 (See Item 5)
10 SHARED DISPOSITIVE POWER

11 2,851,764 shares (See Item 5)
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

12 3,091,386 shares (See Item 5)
CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES* ..

13 Not applicable
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

14 13.9% (See Item 5)
TYPE OF REPORTING PERSON*

IN

* See Instructions

1 NAME OF REPORTING PERSONS**I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)**

2 California Capital Z, LLC
CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐ (b) ☒

3 SEC USE ONLY**4 SOURCE OF FUNDS***

5 WC, AF (See Item 3)
CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e) ☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

California
NUMBER OF 7 SOLE VOTING POWER
SHARES

BENEFICIALLY None (See Item 5)
OWNED BY 8 SHARED VOTING POWER

EACH
REPORTING 2,851,764 shares (See Item 5)
PERSON 9 SOLE DISPOSITIVE POWER

WITH

None (See Item 5)
10 SHARED DISPOSITIVE POWER

Edgar Filing: SOON SHIONG PATRICK - Form SC 13D/A

2,851,764 shares (See Item 5)

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,851,764 shares (See Item 5)

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES* ..

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

12.8% (See Item 5)

14 TYPE OF REPORTING PERSON*

OO

* See Instructions

This Amendment No. 2 amends and restates in its entirety Amendment No. 1, filed with the Securities and Exchange Commission (the "SEC") on April 9, 2013, to the Schedule 13D filed with the SEC on October 15, 2012 by California Capital Z, LLC, a limited liability company ("California Capital"), and Dr. Patrick Soon-Shiong with respect to the common stock, par value \$0.001 per share ("Common Stock"), of JAKKS Pacific, Inc., a Delaware corporation (the "Company"). California Capital and Dr. Soon-Shiong are referred to collectively herein as the "Reporting Persons". The filing of any amendment to this Schedule 13D (including the filing of this Amendment No. 2) shall not be construed to be an admission by the Reporting Persons that a material change has occurred in the facts set forth in this Schedule 13D or that such amendment is required under Rule 13d-2 of the Securities Exchange Act of 1934, as amended.

This Amendment No. 2 is being filed solely to correct an error in Amendment No. 1 as to the identity of the entity purchasing and holding certain shares of the Common Stock.

ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION.

Item 3 of this Schedule 13D is hereby amended to include the following information:

From October 13, 2012 through April 9, 2013, California Capital purchased, in the aggregate, 215,982 shares of Common Stock at weighted average purchase price of \$12.32. The funds used by California Capital to purchase such shares of Common Stock were from internal sources, including working capital or funds provided by Dr. Soon-Shiong.

On April 9, 2013, California Capital purchased 660,000 shares of Common Stock at a purchase price of \$10.43. The funds used by California Capital to purchase such shares of Common Stock were from internal sources, including working capital or funds provided by Dr. Soon-Shiong.

From October 13, 2012 through April 9, 2013, Dr. Soon-Shiong purchased, in the aggregate, 239,622 shares of Common Stock at a weighted average purchase price of \$10.39. Dr. Soon-Shiong used his personal funds to purchase such shares of Common Stock.

ITEM 4. PURPOSE OF TRANSACTION.

Item 4 of this Schedule 13D is hereby amended to include the following information:

The Reporting Persons acquired the Common Stock reported in this Schedule 13D for investment purposes.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER.

Items 5(a) and 5(b) of this Schedule 13D are hereby amended and restated as follows:

The following disclosures are based on 22,307,838 shares of Common Stock outstanding as of March 15, 2013, as reported in the Form 10-K filed by the Company with the Securities and Exchange Commission on March 15, 2013.

- (a)-(b) California Capital beneficially owns, in the aggregate, 2,851,764 shares of Common Stock, representing approximately 12.8% of the outstanding Common Stock. Dr. Soon-Shiong may be deemed to beneficially own, and share voting power and investment power with California Capital over, all shares of Common Stock beneficially owned by California Capital.

Dr. Soon-Shiong directly owns 239,622 shares of Common Stock, representing approximately 1.1% of the outstanding shares of the Company. Dr. Soon-Shiong has the sole power to vote or direct the vote, and the sole power to dispose or direct the disposition, of all such 239,622 shares of Common Stock.

The shares of Common Stock beneficially owned by California Capital and Dr. Soon-Shiong, when aggregated together, total 3,091,386 shares, which represent approximately 13.9% of the outstanding Common Stock. As the sole member of California Capital, Dr. Soon-Shiong may be deemed to beneficially own, and share voting power and investment power with California Capital over, all shares of Common Stock beneficially owned by California Capital. California Capital disclaims beneficial ownership of any and all shares beneficially owned by Dr. Soon-Shiong directly.

Items 5(c) of this Schedule 13D is hereby amended to include the following information:

- (c) During the prior sixty-day period ending as of April 9, 2013, Dr. Soon-Shiong purchased an aggregate of 239,622 shares of Common Stock on the open market at an aggregate purchase price (excluding trading commissions and related administrative costs) of \$2,488,558 as follows:

On April 5, 2013, Dr. Soon-Shiong acquired an aggregate of 127,070 shares of Common Stock on the open market at a weighted average price of \$10.30 per share.

On April 8, 2013, Dr. Soon-Shiong acquired an aggregate of 35,363 shares of Common Stock on the open market at a weighted average price of \$10.40 per share.

On April 9, 2013, Dr. Soon-Shiong acquired an aggregate of 77,189 shares of Common Stock on the open market at a weighted average price of \$10.52 per share.

During the prior sixty-day period ending as of April 9, 2013, California Capital purchased an aggregate of 175,400 shares of Common Stock on the open market at an aggregate purchase price (excluding trading commissions and related administrative costs) of \$2,120,808, as follows:

On February 22, 2013, California Capital acquired an aggregate of 34,400 shares of Common Stock on the open market at a weighted average price of \$12.12 per share.

On February 25, 2013, California Capital acquired an aggregate of 50,000 shares of Common Stock on the open market at a weighted average price of \$12.02 per share.

On February 26, 2013, California Capital acquired an aggregate of 31,000 shares of Common Stock on the open market at a weighted average price of \$12.08 per share.

On February 27, 2013, California Capital acquired an aggregate of 30,000 shares of Common Stock on the open market at a weighted average price of \$12.13 per share.

On February 28, 2013, California Capital acquired an aggregate of 30,000 shares of Common Stock on the open market at a weighted average price of \$12.15 per share.

Edgar Filing: SOON SHIONG PATRICK - Form SC 13D/A

On April 9, 2013, California Capital acquired 660,000 shares of Common Stock at an aggregate purchase price of \$6,883,800 in a privately negotiated transaction.

CUSIP No. 47012E106

SCHEDULE 13D

PAGE 6 OF 7 PAGES

SIGNATURE

After reasonable inquiry and to the best of the knowledge and belief of the undersigned, the information set forth in this statement is true, complete and correct.

Dated: April 10, 2013

CALIFORNIA CAPITAL Z, LLC

By: /s/ Charles Kenworthy

Its: Manager

PATRICK SOON-SHIONG

/s/ Patrick Soon-Shiong

EXHIBIT INDEX

Exhibit No.

Description of Exhibit

1	Joint Filing Agreement, dated as of October 15, 2012, between California Capital Z, LLC and Dr. Patrick Soon-Shiong (incorporated by reference to Exhibit 1 to the Schedule 13D filed by the Reporting Persons with the SEC on October 15, 2012).
---	---