Edgar Filing: SERENA SOFTWARE INC - Form 4

SERENA S Form 4	SOFTWARE INC	2										
August 11,										OMB AP	PROVAL	
FORM	VI 4 UNITED) STATES		RITIES				E CON	AMISSION	OMB Number:	3235-0287	
Check t	nger			U						Expires:	January 31,	
Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: 2005 Estimated average burden hours per response 0.5			
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the	Public U		olding C	Compa	ny A	ct of 19	ct of 1934, 35 or Section			
(Print or Type	Responses)											
	Address of Reporting omas Douglas	g Person <u>*</u>	Symbol	er Name a NA SOF			-	Iss			on(s) to	
(Last)					(Check	ek all applicable)						
(Month			h/Day/Year) 7/2015				belo	DirectorX 10% Owner Officer (give title Other (specify below)				
	(Street)	0		nendment, onth/Day/Y	-	ginal		Apj _X_	Individual or Join plicable Line) _ Form filed by On _ Form filed by Mo	e Reporting Pers	son	
	EACH,, FL 3313	9						Per		·	-	
(City)	(State)	(Zip)	Ta	ble I - Nor	n-Derivat	ive Sec	urities	Acquire	d, Disposed of,	or Beneficially	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transacti Code (Instr. 8)	iorDispose (Instr. 3	ed of (D)	d (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	ies Ownership Indirect cially Form: Beneficial Direct (D) Ownership ing or Indirect (Instr. 4) ed (I) ction(s) (Instr. 4)		
C				Code V	Amo	ount	(D)	Price	(Instr. 3 and 4)			
Common Stock	08/07/2015			S	21,428	3,023	D	<u>(1)</u>	12,000,000	D		
Common Stock	08/07/2015			S	6,000,	000	D	\$ 0.025	6,000,000	D		
Series A Preferred Stock	08/07/2015			S	33,428	3,023	D	<u>(2)</u>	0	D		
Common Stock	08/07/2015			S	4,000,	000	D	\$ 0.025	2,000,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Bollich Thomas Douglas 1521 ALTON RD #51 MIAMI BEACH,, FL 33139		Х					
Signatures							
/s/ Thomas Douglas Bollich	08/11/2	2015					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 7, 2015, the reporting person transferred 21,428,023 shares of common stock, par value \$.00001 per share, of Surna Inc. (the "Issuer"), to the Issuer. No consideration was received by the reporting person in connection with such transfer.
- (2) The price per share is 00001.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 5) Common Stock $309 \frac{(4)}{(2)} 309 \frac{(4)}{(2)} D$ Salary Stock Units $\frac{(6)}{(2)} \$ 0 \frac{(2)}{(2)} 03/31/2012$ A 2,702 $\frac{(5)}{(5)}$ Common Stock 2,702 $\$ 0 \frac{(2)}{(2)} 2,702$ D

Reporting Owners

Reporting Owner Name / Address	Relationships							
i o	Director	10% Owner	Officer	Other				
Bingol Selim 300 RENAISSANCE CENTER M/C: 482-C25-A36 DETROIT, MI 48265-3000			Vice President					
Signatures								
/s/ Anne T. Larin, attorney-in-fact for M Bingol			04/03/2012					
**Signature of Reporting Perso	n		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Common Stock reported in this item was issued upon the settlement of a portion of a grant of Salary Stock Units ("SSUs) awarded on
 (1) March 31, 2011 pursuant to the Company's Salary Stock Plan (the "GMSSP") and vested upon grant. The portion of this award currently payable was settled on March 31, 2011 in cash, less a portion withheld for taxes.

Each SSU is the economic equivalent of one share of the Company's common stock. Grants of SSU are fully vested when made and will be settled in three equal annual installments beginning one year after the date of the grant by the delivery of cash in an amount equal to

- (2) the fair market value of the Company's common stock as of the applicable anniversary date of the SSU's grant. Under the GMSSP, the fair value of the Company's common stock is the average of the high and low trading prices for the Company's common stock as reported on the New York Stock Exchange, on which it is listed, on the date of the transaction, which was \$25.45.
- (3) The Common Stock reported in this item was issued upon the settlement of a portion of a grant of SSUs awarded on March 31, 2010.

On March 31, 2010, the employee received a grant of 309 SSUs, of which 103 SSUs were scheduled to be payable on March 31, 2012. On November 1, 2010, the Company amended its certificate of incorporation to effect a stock split in which each issued and outstanding

- (4) share of Common Stock was converted into three shares of Common Stock. Pursuant to the terms of the GMSSP, each issued and outstanding SSU was automatically converted into three SSUs, so that the employee was credited with a total of 927 SSUs granted on March 31, 2010 of which 309 SSUs became payable on March 31, 2012.
- (5) The SSUs do not have an expiration or exercise date or carry a conversion or exercise price.
- (6) The SSUs reported in this item were granted on March 31, 2012.

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