Edgar Filing: MERCATOR SOFTWARE INC - Form 4/A

MERCATOR SOFTWARE INC Form 4/A April 08, 2003

Common Stock

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 4 WASHINGTON, D.C. 20549

|_| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 or Sect of the Investment Company Act of 1940

of the Investment Company Act of 1940 (Print or Type Responses) ______ 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 6. KEET ERNEST E. MERCATOR SOFTWARE, INC. (MCTR) X _____ (Last) (First) (Middle) 3. IRS Identification y 4. Statement for Month/Day/Year ---Number of Reporting c/o Mercator Software, Inc. Person, if an entity 45 Danbury Road (Voluntary) _____ 5. If Amendment, 7. If Amendment,
Date of Original (Street) 12/23/2002 06897 Wilton CT ______ (City) (State) (Zip) TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPO ______ 2.Trans- 2A. 3.Trans- 4. Securities Acquired 5. 1.Title of Security action Deemed action (A) or Disposed of
Date Execu- Code (D) (Instr. 3, 4 Betion if and 5) (Instr. 3) Date, (Instr. 8) Re if any -----ac (Month/ (Month/ (A) Day/ Day/ or (I Year) Year) Code V Amount (D) Price Common Stock Common Stock

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Common	Stock

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Deri- vativ	sion or Exercise Price of Deri-	on action Date, ercise if any ice of (Month/ Day/ tive Year)	Deemed Execu- tion Date, if any (Month/ Day/ Year)	action Code (Instr. 8)		Derivat: Securit: Acquired (A)or		<pre>ive cisable and ies Expiration d Date</pre>		Amoun Underl Securi (Instr	t of ying ties . 3	Price of Deriv Ative Security (Inst
				Code	 V	(A)	(D)	Date Exer- cisable	tion	Title	Amount or Number of Shares	r
Stock Option (right to buy)				Α		10,000)	8/15/03	8/15/12	Common Stock	10,000	
Stock Option (right to buy)	•		:	А		30,000)	12/18/03	12/18/12	Common Stock	30,000	

Explanation of Responses:

 The reporting person is the President of Vanguard Atlantic, Limited, record holder of these disclaims beneficial ownership of these securities except to the extent of his pecuniary int

- 2. The reporting person is the trustee of the Ernest E. Keet 1997 Grantor Retained Annuity Trus The reporting person disclaims beneficial ownership of these securities except to the extent
- 3. The reporting person is a trustee of the Ernest E. & Nancy R. Keet Foundation, record holder person disclaims beneficial ownership of these securities except to the extent of his pecuni
- 4. The reporting person is a trustee of the Ernest E. & Nancy R. Keet Family Trust, record hold

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person disclaims beneficial ownership of these securities except to the extent of his pecuni /s/ ERNEST ${\sf E}$

**Signature of F ERNEST E

**Intentional misstatements or omissions of facts constitute
Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.