Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

ALLIANCE RESOURCE PARTNERS LP

Form 4 January 31, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Address of Reporting Person* Wilkerson Dale G.				2. Issuer Name and Ticker or Trading Symbol Alliance Resource Partners "ARLP")							6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1717 S. Boulder Suite 600	of Reporting Person,					Statement for onth/Day/Year 0/03	10 <u>X</u>	Director 10% Owner X Officer (give title below) Other (specify below)						
	_				5. I	5. If Amendment.		Vice President and Controller 7. Individual or Joint/Group Filing						
(Street) Tulsa, OK 74119								te of Original onth/Day/Year)	(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Т	able	I Non-I)erivat	ive Sec	urities Acquired, I	Dispose	ed of, or Bene	ficially Owned			
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/	Execution Date,	3. Transaction Code	Code	4. Securities (A) or Disposition (Instr. 3, 4) Amount	osed o		5. Amount of Securities Beneficially		6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership			
	Year)	(Month/Day/ Year)				or (D)		ing Reported Transactions(s) (Instr. 3 & 4)		(Instr. 4)	(Instr. 4)			
Common Unit									695	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

	(vig.) puttly variation, options, convertible securities,											
1. 7	Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
De	rivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Sec	curity	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
		Price of	Date	Date,	Code	Derivati	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(In	str. 3)	Derivative		if any		Securitie	y ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
		Security	(Month/	(Month/	(Instr.	Acquire	i			Following	ative	
			Day/	Day/	8)	(A) or				Reported	Security:	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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		Year)	Year)		Dispose (Instant) (Instant) (3, 4)	D) tr.	I					Direct (D) or Indirect (I) (Instr. 4)	
				Code V	/ (A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares			
Phantom unit	1 for 1	1/30/03 (1)		A	189		(2)		Common Unit	189	1,620	D	
Restricted unit	1 for 1						(3)		Common Unit		5,600		

Explanation of Responses:

- (1) The transaction was approved by the Managing GP's Compensation Committee on January 30, 2003, effective January 1, 2003.
- (2) The Phantom Units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (3) The Restricted units will vest when the issuer meets certain financial test described int he Agreement of Limited Partnership of the Issuer which would typically be no earlier than September 30, 2004. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

By: /s/ Dale G. Wilkerson

January 31, 2003

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).