#### Edgar Filing: KVH INDUSTRIES INC \DE\ - Form 4

KVH INDUSTRIES INC \DE\ Form 4 February 01, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KITS VAN HEYNINGEN ARENT Issuer Symbol KVH INDUSTRIES INC \DE\ (Check all applicable) [KVHI] 3. Date of Earliest Transaction (Last) (First) (Middle) \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) KVH INDUSTRIES, INC., 50 01/30/2007 Chairman of the Board **ENTERPRISE CENTER** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MIDDLETOWN, RI 02842 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D)Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common S **J**(1) 01/30/2007 2.500 Ι A 245,752 By Spouse 6.25 Stock Common 284,933 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                            |
|---|---|---|---|--|---|--|--------------------|---|----------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title   | Ai<br>or<br>Ni<br>of<br>Sh |
| Employee<br>Stock<br>Option-Right<br>to Buy         | \$ 6.25   | 01/30/2007                              |   | J <u>(2)</u>                           | 2,500   | 03/28/2006 <u>(3)</u>  | 03/28/2007         | Common<br>Stock   | 2                          |
| Employee<br>Stock<br>Options-Right<br>to Buy        | (5)   |   |   |  |   | (5)  | <u>(5)</u>         | Common<br>Stock   |                            |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                       |       |  |  |
|--|---------------|-----------|-----------------------|-------|--|--|
|  | Director      | 10% Owner | Officer               | Other |  |  |
| KITS VAN HEYNINGEN ARENT<br>KVH INDUSTRIES, INC.<br>50 ENTERPRISE CENTER<br>MIDDLETOWN, RI 02842 | Х             |           | Chairman of the Board |       |  |  |

## Signatures

Person

| Arent Kits van           | 02/01/2007 |  |  |
|--------------------------|------------|--|--|
| Heyningen                | 02/01/2007 |  |  |
| **Signature of Reporting | Date       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired by spouse via the exercise of a stock option pursuant to the terms & conditions of the company's 1996 Incentive & Non-qualified Stock Option Plan.
- (2) Exercise of a stock option pursuant to the terms & conditions of the company's 1996 Incentive & Non-qualified Stock Option Plan.
- (3) Date option became fully vested.
- (4) Represents total vested/unexercised options "beneficially owned" by spouse.

#### **Reporting Owners**

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- (5) Not applicable.
- (6) Represents total vested/unexercised options "beneficially owned".

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.